

MAVEN INCOME AND GROWTH VCTS OFFERS FOR SUBSCRIPTION

APPLICATION FORM

Before completing this Application Form you should read the Terms and Conditions of Application and the Application Procedure contained in the Securities Note, which contain important information about completion of the Application Form and the payment of funds. Failure to follow the Application Procedure could result in an application not being accepted. The completed Application Form (together with any cheque or banker's draft) should be posted or hand delivered (business hours only) to the address at the end of this form.

Definitions used in the Securities Note dated 22 September 2017 ("Securities Note") apply to this Application Form. The Securities Note, together with the Registration Document and Summary (together the "Prospectus") can be downloaded from: www.mavencp.com/vctoffer or requested by contacting Maven Capital Partners UK LLP on 0141 306 7400.

Please complete in BLOCK CAPITALS.

To be completed by the Applicant.

1. Personal Details

Title: (Mr/Mrs/Miss/Ms/Dr/Other)	
Forename(s):	Surname(s):
Address:	
	Post code:
Daytime Telephone Number:	
Email address:	
Date of Birth: <input type="text"/> / <input type="text"/> / <input type="text"/>	National Insurance Number: <input type="text"/>
If you are an Existing Shareholder, please tick one of the boxes. My Shares are held: Directly on the register of members <input type="checkbox"/> In a nominee account <input type="checkbox"/>	

2. Application Details

I wish to apply under the Offer(s) for the following amount(s) in respect of the tax years 2017/2018 and/or 2018/2019, or such lesser amount(s) for which this Application will be accepted, on the Terms and Conditions of Application set out on pages 59 to 62 of the Securities Note. These amounts must include the amount of any initial adviser charge set out in Section 10b of this Application Form. Please note that Applications must be for a minimum aggregate amount of £5,000 (and thereafter in multiples of £100), and for a minimum of £1,000 in each Company for which you apply.

Application for the amounts shown below to be applied to the Offers (as may be re-allocated in accordance with the instructions set out in Section 3 of this Application Form or otherwise as set out in the Securities Note).

	Tax Year 2017/2018	Tax Year 2018/2019
Maven VCT 3:	£	£
Maven VCT 4:	£	£
Total		

3. Re-allocation/Return Instructions

Please tick one box only. In the event that an Offer for which I have applied has closed, or is deemed closed, at the time my Application Form is processed, then I hereby request the following:

(i) the amount in respect of the closed Offer be re-allocated to the other Offer, irrespective of whether I originally applied for it

OR

(ii) the amount in respect of the closed Offer be returned to me

Please note – if you fail to tick a box above, your application monies will be re-allocated (to the VCT that remains open).

4. Payment Details

Please tick one box only:

(i) I enclose a cheque or banker's draft made payable to
"Capita Registrars Limited re Maven Income and Growth VCTs 2017-18 OFS"

(ii) I confirm that I will make a bank transfer to Capita Asset Services Limited to the following account:

Capita Registrars Limited re Maven I&G VCTs 2017-18 CHAPS A/C

Royal Bank of Scotland

Account number: 32557263 / Sort Code: 15-10-00

and that I have provided any necessary identity verification and source of funds evidence (if applicable) set out on pages 64 and 65 of the Securities Note. I confirm that funds will be received by the Receiving Agent by the time the application is processed, and understand that any delay in providing funds may affect acceptance of the application.

Please provide the following information about the account from which you will transfer funds:

Bank or Building Society:

Account Name:

Account Number:

Sort Code:

Reference (initials and telephone number):

5. Nominee/CREST Details (if applicable)

I request that any New Shares for which my Application is accepted are issued to my nominee through CREST

CREST Participant ID:

CREST Member Account ID:

Participant Name:

Address:

Post Code:

Contact Telephone Number:

Contact Name:

6a. Dividend Payment Mandate

By completing this section you can elect to have all dividends from the Companies paid directly into a bank or building society account, rather than issued by cheque.

Please forward, until further notice, all dividends that may from time to time become due in respect of any Shares now standing, or which may hereafter stand, in my name in the register of members of the Companies to which I have applied, to:

Bank or Building Society:

Account Number:

Sort Code:

6b. Dividend Investment Scheme

I wish to participate in the Dividend Investment Scheme(s) of those Companies to which I have applied in respect of dividends paid on all of my New Shares under the Offer(s) and any existing shareholdings.

7a. Authority in relation to providing Shareholding Information to Financial Intermediaries

By ticking the box, I hereby authorise the Registrar, Capita Asset Services, to provide to the financial intermediary noted in Section 9 (upon request) information regarding my shareholdings in the Companies to which I have applied. This authority shall remain in effect for the lesser of a period of up to one year from the date of allotment, or until I revoke such authority by informing the Registrar in writing. This authority extends to the provision of information regarding my shareholding only, and I understand that my financial intermediary will be unable to instruct any register changes or transactions on my behalf.

7b. Use of Personal Data

By signing the declaration at Section 8 you agree to the use of your personal data by Capita, Maven, the Companies to which you have applied and their third party advisers as necessary, to: process your application, including verifying your identity where required under the Money Laundering Regulations; allocate your New Shares if your application is successful; provide information to your financial intermediary (if applicable) and provide you with the reports on the Companies and their performance that are required by law. The Companies will not share your data with any other party unless they are required to do so by law.

Maven will also register you to receive twice-yearly newsletters (by email if you have provided an email address with this Application, otherwise by post), as well as news of portfolio investments and information about future VCT offers. If you wish to receive this information, please tick this box.

8. Applicant's Signature and Date

By signing this form I HEREBY DECLARE THAT I have read the Terms and Conditions of Application set out on pages 59 to 62 of the Securities Note (and as further contained herein) and agree to be bound by them. I understand that this subscription represents a long term investment and have read the risk factors set out on page 4 of the Securities Note and pages 3 and 4 of the Registration Document and the Prospectus as a whole.

Signature

Date

To be completed by a Financial Intermediary (if applicable).

The remainder of this form should only be completed by a financial adviser or 'execution-only' intermediary (if any), and **Section 11 must be signed and fully completed by such person.**

9. Financial Intermediary Details Contact Details

Firm Name:	FCA Number:
Contact Name:	Administrator Contact:
Email Address:	
Address:	
	Post Code:
Telephone:	Fax:

I confirm that I have identified and verified the identity of the Applicant to the standard required by the Money Laundering Regulations 2017 within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (if this box is not ticked, the Applicant must provide the identity and source of funds information set out on pages 64 and 65 of the Securities Note).

What type of investment is this? (one of these boxes must be ticked)

This is a non-advised investment (execution-only) – please go to Section 10a

This is an advised investment – please go to Section 10b

10a. 'Execution-Only' Intermediaries

Where no financial advice has been provided to the Applicant in respect of the Application, the intermediary must tick the box and specify below the level of any initial commission to be paid to the intermediary (subject to a maximum amount equal to 2.5% of the Application Amount).

Amount of initial commission to be paid to 'execution-only' intermediary	X	%
Amount of initial commission to be waived and re-invested for client	Y	%
Total X + Y (must total no more than 2.5%)	TOTAL	%

10b. Financial Advisers

If financial advice has been provided by you to your client in respect of this Application, please tick one of boxes A or B below to confirm whether or not an initial adviser charge is required to be facilitated.

(A) My client has agreed to pay my initial adviser charge in respect of this application direct and there is no requirement for any charge to be facilitated from the Application Amount.

(B) My client has requested to have such amount as is set out below to be facilitated to me as an initial adviser charge (subject to a maximum amount equal to 2.5% of the Application Amount).

If Box B has been ticked please complete EITHER a £ amount or a % figure below:

Amount	£
Percentage of Application Amount	%

Special Instructions

VCT tax reliefs will only be available in respect of the actual amount invested in the Companies and will not include facilitated initial adviser charges. The charging of VAT on an initial adviser charge is the sole responsibility of the financial adviser. Should any charge facilitated by Capita Asset Services not include the payment of any such VAT, the investor will, at all times, remain solely responsible to make up such VAT deficit (if any) to the adviser.

10c. Payment of intermediary commissions and adviser fees

If you wish any initial commissions or adviser fees indicated above to be made directly to your account by bank transfer, please provide the account details (in the absence of these details, payment will be made by cheque):

Name of Bank:	Account Name:
Account Number: <input type="text"/>	Sort Code: <input type="text"/>

11. Financial Intermediary's Signature and Date

By signing this form I HEREBY DECLARE THAT I have read the Terms and Conditions of Application set out on pages 59 to 62 of the Securities Note (and as further contained herein) and agree to be bound by them. I confirm that: (i) I have the authority to sign this declaration on behalf of the Financial Intermediary; (ii) the amount(s) inserted in Section 10a or 10b above (if applicable) has been agreed with my client; and (iii) Maven may use my contact details, in my capacity as contact for the Financial Intermediary, to send information about its VCT offers and VCT related news (if you would prefer not to receive this information, please tick the box).

Signature	Date
Position (i.e. capacity to sign on behalf of the financial intermediary)	

Posting your Application

Please send the completed Application Form with your cheque or banker's draft (endorsed on the reverse) and, if necessary, proof of identity and source of funds, to **Capita Asset Services, Corporate Actions, The Registry, 34 Beckenham Road, Beckenham, Kent BR3 4TU**. Cheques should be made payable to "Capita Registrars Limited re Maven Income and Growth VCTs 2017-18 OFS" and crossed "A/C Payee only". If making a bank transfer, you should ensure that Section 4(ii) has been completed.