

STOCKS AND SHARES ISA TRANSFER

Use this form to transfer existing Stocks and Shares, Cash or Innovative Finance ISAs to an HL Stocks and Shares ISA
If you have any questions call us on ☎ 0117 906 7816, visit 🌐 www.hl.co.uk or email ✉ helpdesk@hl.co.uk

1. Your details – these must match what your current provider holds for you

APIT3

Title (Mr,
Mrs, etc):

Full name:

Address:

Postcode:

Client number
(if known):

Date of
birth:

Nationality:

National
Insurance No.

Tick here if you
have no NI No.

Main
tel. no:

Email
address:

2. Transfer your ISA – complete to transfer a Stocks and Shares, Cash or Innovative Finance ISA

What type of ISA are you transferring?

Stocks and Shares ISA Cash ISA Innovative Finance ISA

For Cash ISA's only

Tick here if you'd like to transfer your Cash ISA immediately
(turn over for details)

If you're transferring an Innovative Finance ISA, you can only transfer the available cash held on the account.

If you're transferring a Stocks and Shares ISA, are you transferring your investments as they are or as cash?

Transferring my investments Transferring as cash

Are you transferring all or part of your ISA?

Entire ISA **OR** Part of my ISA

For partial transfers only:

Enter how much you wish to
transfer from available cash
on the account

£

If transferring part of your ISA as stock,
please include a cover letter with the
investment name and share or unit
amount you wish to transfer.

You cannot partially transfer any money you've paid in to your ISA this year.

Details of the ISA you're transferring

ISA company's
name:

Company
postcode:

ISA reference/
account no.

Approx.
transfer value:

Cash ISA sort
code (if applicable):

- -

I have read and agreed to the declaration overleaf. I authorise you to discuss my transfer with HL, including by phone. I understand my Stocks and Shares ISA will be transferred as it is if I haven't selected one of the options above, and if I'm transferring my ISA for the current tax year my Direct Debit will be cancelled immediately (if applicable).

Please
sign here



SIGNATURE

Date:

Full name:
(BLOCK CAPITALS)

3. Would you like to choose your investments now? – leave blank if you're transferring your ISA as it is

If you're ready to choose your investments, enter them below. **DO NOT COMPLETE IF TRANSFERRING AS STOCK.**

<input type="text"/>	<input type="text"/> %	<input type="text"/>	<input type="text"/> %
<input type="text"/>	<input type="text"/> %	Cash – would you like to leave some of your transfer as cash?	<input type="text"/> %
<input type="text"/>	<input type="text"/> %	Total	100%

4. Income instruction – choose one

Ignore this step if you've already told us your income preference for your ISA and you'd like to keep this the same.

Reinvest my income automatically Pay my income out to my bank account Hold my income as cash in my ISA

5. Your bank account – this is where we'll pay any income or cash withdrawals

Ignore this step if we already have your details and you'd like to keep these the same.

Name of
account holder:

Sort
code:

- -

Account
number:

Roll number or
building soc. ref.:

Turn over for help and to read the declaration

6. Declaration – please read

For your own benefit and protection you should read our Terms and Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms and Conditions, the Important Investment Notes and Key Features of the HL Stocks and Shares ISA and my chosen investments, reviewed the costs and charges and, where

available, the Key (Investor) Information Document provided to me at www.hl.co.uk or on paper. The declaration and the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and Hargreaves Lansdown (HL).

I will notify HL without delay if any of the information given on this form changes. I confirm that I have not received advice from HL in relation to the transfer of this ISA. I authorise HL to: a) hold my cash subscriptions, ISA investments, interest, dividends and any

other rights or proceeds in respect of those investments and any other cash; and (b) make on my behalf any claims to relief from tax in respect of ISA investments.

CASH ISA ONLY: I understand that the Cash ISA I am transferring will be transferred into the HL Stocks and Shares ISA.

IF ISA ONLY: I understand that the IF ISA I am transferring will be transferred into the HL Stocks and Shares ISA.

ISA TRANSFER – QUESTIONS AND ANSWERS

What are my options for transferring my Stocks and Shares ISA?

You can choose from the following options:

Transfer Investments: We'll move your investments and any cash as they are. When the transfer is complete, you can buy or sell investments again.

Transfer as cash: Your provider will sell your investments and transfer as cash. You won't gain or lose from market changes until you reinvest your cash.

Transferring an ISA doesn't count towards your ISA allowance.

Are there any charges to transfer?

It's free to transfer an ISA to us but your current provider may charge an exit fee, so it's worth checking with them first.

Also, if you're transferring a fixed rate Cash ISA, remember that if you leave before the end of the term, you may have to pay a fee.

What happens if I don't select to transfer my Cash ISA immediately?

If you don't tick the option to transfer immediately we'll wait until the end of any fixed term or notice period which applies to your Cash ISA before transferring. If you're unsure please check with your current provider before transferring.

What if I've already paid into a Cash or Innovative Finance ISA this tax year?

Please check before transferring your Cash or Innovative Finance ISA if you've already paid into it this tax year. If you have, and you've also paid into a Stocks and Shares ISA other than the HL Stocks and Shares ISA this tax year, then you won't be able to transfer to us. If this applies to you please call us to discuss your options.

Can I transfer part of my ISA?

Usually, yes. Please check with your current provider first or call us on **0117 906 7816**.

To transfer part of a holding, just enclose a signed letter of instruction with your form telling us exactly which investments you wish to transfer.

Remember, if you've made any payments into your ISA this tax year these will either have to be transferred in full or remain with your current provider.

Choosing your investments

Let us know what you want to invest in, and how much.

If you're investing in funds please make sure you include both the name of the fund management company (e.g. Jupiter) and the name of your chosen fund (e.g. Global Strategic Bond).

How does the income instruction work?

Your income instruction tells us what you want us to do with any income your investments make. It applies to all investments in your ISA.

If you leave the income instruction blank we'll look at what you have told us before. If you haven't given us an instruction any income will be kept as cash in your account.

Reinvest income automatically

We'll reinvest income when it reaches £10 per holding. There's no charge for this service if you're re-investing in funds. There is a dealing commission of 1% (£1 minimum, £10 maximum) for other investments.

If you buy funds we'll buy accumulation units for you where possible.

Pay income out to my bank account

If you've chosen to have income paid out we'll send it to your nominated bank account on the 10th of the month, or the next working day.

If you buy funds we'll buy income units for you where possible.

Hold income as cash in my ISA

We'll hold any income in your account until you've decided what to do with it.

If you buy funds we'll buy accumulation units for you where possible.

Sending us your form

Please send us your form to our free simple address: **Freepost HARGREAVES LANSDOWN**

Don't want to hear from us?

If you don't want to receive emails from us, just send us an email or write to us to let us know.

Any questions?

☎ **0117 906 7816**

✉ **helpdesk@hl.co.uk**

🌐 **www.hl.co.uk**

GOT ONLINE ACCESS?

If you're a client, the fastest way to transfer an ISA is online.

All you need to do is:

1. Visit www.hl.co.uk/transfer-an-isa
2. Select 'transfer your ISA now'