

# Stocks & Shares ISA Transfer

Office use only

AW40T

Application to transfer existing Stocks & Shares or Cash ISAs to the Vantage Stocks & Shares ISA (New ISA)

## Section 1 Personal Details - Please complete all sections in BLOCK CAPITALS, including date of birth and National Insurance Number

Title (Mr, Mrs etc)	Full Forenames	Surname	
Permanent Residential Address			
Postcode		Daytime Tel. No	Date of Birth
			D D M M Y Y
Email Address (if applicable)	National Insurance No.		If you have no NI No. tick here <input checked="" type="checkbox"/>

## Section 2 Nominated Bank Account & Income Instruction - See overleaf for details

Please nominate a bank account into which we will pay any income or cash withdrawals. You need not complete this section if you have already nominated a bank account. Please complete this section only if this is your first application for, or to change the current income instruction on, the Vantage Stocks & Shares ISA. **Please tick one option only.**

Name of Account Holder	<b>A</b> <input checked="" type="checkbox"/> <b>REINVEST INCOME AUTOMATICALLY</b>
Sort Code	<b>B</b> <input checked="" type="checkbox"/> <b>PAY INCOME OUT TO MY NOMINATED BANK ACCOUNT (SEE LEFT)</b>
Account Number	<b>C</b> <input checked="" type="checkbox"/> <b>HOLD INCOME ON DEPOSIT IN MY ISA</b>
Roll Number or Building Soc. Ref	

## Section 3 Declaration - Please ensure you have read and agree to this declaration before you transfer

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms & Conditions, the Important Investment Notes, and Key Features of the Vantage Stocks & Shares ISA. Where I am investing in a fund or an ETF, I am doing so on the basis of the information contained in the Key Features, Simplified Prospectus or Key Investor Information Document provided to me on paper, CD rom or via www.hl.co.uk. The declaration and the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and Hargreaves Lansdown. I will notify Hargreaves Lansdown without delay of changes to these particulars. I have not received, and will not receive, advice from Hargreaves Lansdown.

I authorise Hargreaves Lansdown: (a) To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash. (b) To make on my behalf any claims to relief from tax in respect of ISA investments. I have not subscribed, and will not subscribe more than the overall subscription limit in total to a Stocks & Shares ISA and a Cash ISA in the same tax year. I declare that I am 18 years of age or over.

**Cash ISA only:** I understand that the Cash ISA I am transferring will be transferred into the Vantage Stocks & Shares ISA.

## Section 4 ISA Transfer Authorities - Please complete one authority for each ISA you wish to transfer

<b>A</b> ISA Company's Name	Postcode	Please only complete this section if you are transferring your ISA as cash to the Vantage Stocks & Shares ISA. Please reinvest the cash transfer proceeds as follows:
ISA Reference to be transferred	Approx. Transfer Value	
<b>STOCKS &amp; SHARES ISA</b>	<b>OR CASH ISA</b>	
Please transfer my <b>entire</b> Stocks & Shares ISA to the <b>Vantage Stocks &amp; Shares ISA</b> as: <b>Stock</b> <input checked="" type="checkbox"/> <b>OR</b> <b>Cash</b> <input checked="" type="checkbox"/>	Please transfer my <b>entire</b> Cash ISA to the <b>Vantage Stocks &amp; Shares ISA</b> . Cash ISA Sort Code: (if applicable)	<input type="checkbox"/> OWN CHOICE %
I authorise you to transfer my investment(s) as stock if I have not ticked either the stock or cash option above.		<input type="checkbox"/> OWN CHOICE %
I authorise you to discuss this transfer with Hargreaves Lansdown by telephone. <b>I have read and agreed to the declaration above.</b>		<input type="checkbox"/> OWN CHOICE %
<b>X</b> PLEASE SIGN HERE	Date	<input type="checkbox"/> OWN CHOICE %
Full Name (BLOCK CAPITALS)	Postcode	<b>CASH</b> - to be held pending investment instructions %
Regular Savings - Cease collection of my monthly savings plan with immediate effect if I have chosen to transfer my ISA for the current tax year.		<b>TOTAL</b> %

<b>B</b> ISA Company's Name	Postcode	Please only complete this section if you are transferring your ISA as cash to the Vantage Stocks & Shares ISA. Please reinvest the cash transfer proceeds as follows:
ISA Reference to be transferred	Approx. Transfer Value	
<b>STOCKS &amp; SHARES ISA</b>	<b>OR CASH ISA</b>	
Please transfer my <b>entire</b> Stocks & Shares ISA to the <b>Vantage Stocks &amp; Shares ISA</b> as: <b>Stock</b> <input checked="" type="checkbox"/> <b>OR</b> <b>Cash</b> <input checked="" type="checkbox"/>	Please transfer my <b>entire</b> Cash ISA to the <b>Vantage Stocks &amp; Shares ISA</b> . Cash ISA Sort Code: (if applicable)	<input type="checkbox"/> OWN CHOICE %
I authorise you to transfer my investment(s) as stock if I have not ticked either the stock or cash option above.		<input type="checkbox"/> OWN CHOICE %
I authorise you to discuss this transfer with Hargreaves Lansdown by telephone. <b>I have read and agreed to the declaration above.</b>		<input type="checkbox"/> OWN CHOICE %
<b>X</b> PLEASE SIGN HERE	Date	<input type="checkbox"/> OWN CHOICE %
Full Name (BLOCK CAPITALS)	Postcode	<b>CASH</b> - to be held pending investment instructions %
Regular Savings - Cease collection of my monthly savings plan with immediate effect if I have chosen to transfer my ISA for the current tax year.		<b>TOTAL</b> %

# Transferring ISAs to the Vantage Stocks & Shares ISA (New ISA)

## Section 1

### Personal Details

Please complete all your personal details, including your date of birth and National Insurance Number.

## Section 1

### Personal Details

## Section 2

### Nominated Bank Account & Income Instruction

Please tell us what to do with any income paid by your investment and provide details of your nominated bank or building society account.

## Section 2

### Nominated Bank Account & Income Instruction

### Q. What is a nominated bank account?

**A.** If you withdraw money or ask us to pay out income from a Vantage ISA we will transfer this money directly to your nominated bank account. You can change your nominated bank account at any time, however you cannot nominate more than one bank account. The details you provide on this application will override any existing nominated bank account you may previously have chosen.

## Section 3

### Declaration

### Q. How does the income instruction work?

**A.** The income instruction you choose on your application form will apply to your whole Vantage Stocks & Shares ISA. If you already hold a Vantage Stocks & Shares ISA, the instruction you provide on this application will supersede the current instruction on that account.

**Choose option A for automatic reinvestment.** We will automatically reinvest income for funds, shares and other investments when it reaches £10 per holding. A dealing commission of 1% will apply (£1 minimum, £10 maximum). When you buy funds we will purchase accumulation units if available.

**Choose option B to have income paid out** to your nominated bank or building society account. When you buy funds we will purchase income units if they are available.

**Choose option C to have income held on deposit** as a cash balance within your Vantage Stocks & Shares ISA, pending your further instructions. When you buy funds we will purchase accumulation units if they are available.

If you leave the income option blank and you already hold a Vantage Stocks & Shares ISA, we will look at what you have told us previously; alternatively if this is your first investment we will hold any income on deposit as described in option C.

## Section 3

### Declaration

Please ensure you have read and agree to this declaration before you transfer.

## Section 4

### ISA Transfer Authorities

These details are available on your statement, or from your current ISA provider. By signing you are agreeing to the declaration in section 3.

### Q. What is the difference between a stock and a cash transfer?

**A. Stock transfers** are where your existing holdings are simply moved across to the Vantage Stocks & Shares ISA exactly as they are.

**Cash transfers** are where your current provider sells your holdings, transfers the proceeds to us, and we reinvest it in the funds you have chosen on the transfer form or, if you have asked us to, hold it as cash in your Vantage Stocks & Shares ISA. You are out of the market while a cash transfer takes place. This may work in your favour if the market falls but if it rises you will not benefit from any growth while you hold cash.

Whether you transfer an ISA as stock or as cash, your ISA wrapper is preserved.

### Q. Are there any charges to transfer?

**A.** We do not charge any joining fees, however your current provider may levy a transfer fee.

**Funds held in a Stocks & Shares ISA:** for details of which providers levy fees, please see the table opposite. If you cannot see your current provider listed, or you would like to check any terms, please contact our Helpdesk on **0117 900 9000**.

**Shares held in a Stocks & Shares ISA:** if you hold shares with another stockbroker they may charge a transfer fee; however, we may be able to contribute towards this. Please call our Helpdesk on **0117 900 9000** before completing your transfer form.

**Cash ISAs:** your current provider may levy an exit fee, particularly if your Cash ISA has a fixed term or maturity date. Please check this directly with your provider.

### Q. Can I transfer part of my ISA?

**A.** Some providers will allow a partial transfer; please check this directly with your provider or call our Helpdesk on **0117 900 9000**. To transfer part of a holding only please attach a clear, signed covering letter to your completed transfer form, stating you wish to make a partial transfer and detailing exactly which holdings you wish to transfer.

### Q. Can I transfer a Cash ISA?

**A.** Yes, you can. The Stocks & Shares ISA Transfer form allows you to transfer an existing Cash ISA into the Vantage Stocks & Shares ISA. If you choose to invest in stock market investments please remember these can fall as well as rise in value, so your capital is not guaranteed.

### Could you transfer free of charge?

It is free to transfer funds (unit trusts/OEICs) held in an ISA from the following companies by telephone or post:

- Aberdeen
- Allianz Glob Inv
- Artemis
- Aviva
- AXA
- BlackRock
- Cazenove
- Close Asset Mngt
- Cofunds
- F&C
- Fidelity
- First State
- Henderson
- Invesco Perpetual
- Investec
- Jupiter
- Kames Capital
- Legg Mason
- M&G
- Neptune
- Newton
- Old Mutual
- PSigma
- Rathbone
- Royal London
- Schroder
- Skandia
- Standard Life Investments
- SWIP

To transfer by telephone, please call us on **0117 900 9000**.

It is also free to transfer by post from the following companies.

- Baillie Gifford
- GAM
- HSBC
- Ignis Asset Mngt
- Insight
- JO Hambro
- JP Morgan
- Lazard
- Legal & General
- MAM
- Marlborough
- Martin Currie
- Sarasin
- Threadneedle
- TU

If you are transferring funds, shares or investment trusts from other brokers, they might charge a fee. However, we may be able to contribute towards this. Simply contact our Helpdesk on **0117 900 9000** before you return the form, with details of your investments to hand and we will let you know what we could pay.