

COMPLETE THIS FORM TO START OR TRANSFER TO AN HL SIPP

HARGREAVES
LANSDOWN

AWSND

1. How to apply

Office
use only:

For clients requesting a transfer: By completing this form we assume you do not plan to access your pension as a direct result of this transfer. If you're over 50 and would like to access your pension as a result of this transfer contact us on 0117 980 9926.

How to apply

- Read the Terms and Conditions and Key Features (including the Important Investment Notes, Transfer and Contribution Checklists and Common Transfer Declaration) on our website or sent to you by post.
- **If setting up a Direct Debit:** remember to fill in the Direct Debit slip at the bottom of the page.
- **If investing a lump sum:** remember to include your cheque payable to **HL SIPP/your initials and surname** for the net amount. e.g. to invest £10,000, write your cheque for £8,000.

- **To transfer a pension:** first check you won't lose valuable guarantees or benefits or incur excessive exit fees. You can transfer on page 2.
- **If you're transferring a pension that's already in drawdown** you'll need to complete a set of risk questions before we send you a drawdown application. You can do this by calling us on **0117 980 9926**.
- If you're investing for a child or 3rd party, also complete the Legal Guardian/3rd Party form (available on our website).
- If your employer is paying into your SIPP, contact us or visit **www.hl.co.uk/employer** for a form.

If you have any questions call us on **0117 980 9926**, visit **www.hl.co.uk** or email us at **sipp@hl.co.uk**

Return to our simple freepost address (no stamp needed):
Freepost HARGREAVES LANSDOWN

2. Your details

Title (Mr, Mrs, etc):	First name(s):	Surname:
Address:		
Postcode:	Date of birth:	Nationality:
National Insurance No.	Tick here if you have no NI No.	Female Male
Marital status:	Expected retirement age (required):	Main tel. no:
Email address:		

Your employment status – please tick one box only

- | | | |
|-------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------|
| <input type="checkbox"/> Employed | <input type="checkbox"/> Self employed | <input type="checkbox"/> Pensioner |
| <input type="checkbox"/> Unemployed | <input type="checkbox"/> Caring for one or more children under 16 | <input type="checkbox"/> Caring for a person aged 16 or over |
| <input type="checkbox"/> In full time education | <input type="checkbox"/> Child under 16 | <input type="checkbox"/> Other |


Pension protection – tick if you have registered or intend to register with HMRC for pension protection. Leave blank if not.

Please enclose a copy of your protection certificate, or the HMRC print out confirming your reference number if you applied online.

- | | | | |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Enhanced Protection (on funds built up before 6 April 2006) | <input type="checkbox"/> Primary Protection (on funds built up before 6 April 2006) | <input type="checkbox"/> Fixed Protection (on funds built up before 6 April 2012/2014/2016) | <input type="checkbox"/> Individual Protection (on funds built up before 6 April 2014/2016) |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|

3. Declaration and signature – please remember to include your cheque, where applicable

I confirm I have read and agree to the Declaration on page 2.

Please sign here		SIGNATURE	Date:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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4. How much would you like to pay into your SIPP?

Personal contribution by cheque

Personal contributions should be paid net. E.g. to contribute £10,000 gross, tick the 2nd box below and complete your cheque for the net amount of £8,000 (multiply gross amount by 0.8). Cheques should be made payable to **HL SIPP/Your initials and surname**.

- | | | | |
|--------------------------------------------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> £3,600 (non earners) | <input type="checkbox"/> £10,000 | <input type="checkbox"/> £20,000 | <input type="checkbox"/> £60,000 |
| Other: £ <input type="text"/> gross (min £100, max £180,000) | | | |

Monthly contribution by Direct Debit

Please make sure you complete the Direct Debit mandate below.

£ gross (min £25) multiply by 0.8 for the amount to be taken from your bank

5. Instruction to your bank or building society to pay by Direct Debit – only complete if you're investing by Direct Debit

HARGREAVES
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Please keep a copy of the Direct Debit guarantee which is in the Terms and Conditions. Please complete using dark ink.

Service User Number

8 3 7 0 0 4



Name(s) of account holder(s):	
Branch Sort Code	Bank/Building Society Account Number
Name and full postal address of your Bank/Building Society	To the Manager:
Address:	
Postcode:	

Instruction to your Bank or Building Society – Please pay Hargreaves Lansdown Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Hargreaves Lansdown and, if so, details will be passed electronically to my Bank/Building Society. Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

Please sign here		SIGNATURE	Date:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Turn over to transfer your pensions, choose your investments and read the declaration

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6. Transfer to the HL SIPP. Leave blank if you're not transferring a pension

AWSND

Transfer to the HL SIPP. Leave blank if you're not transferring a pension

• Transfer your pension as cash - your provider will sell your investments, then transfer the cash amount. You will not be invested during the transfer, so will not make gains or losses. Once your transfer is complete you'll be able to buy investments. This type of transfer usually takes 2-4 weeks depending on your provider.

• Transfer your pension investments - all your investments and cash will be transferred. You will be invested during the transfer, so you could make gains or losses. Usually you cannot trade until your transfer is complete.

This type of transfer usually takes 6-8 weeks, depending on your investments and provider. If you select this option we will convert any funds transferred to the lowest cost version we offer. We will never convert you into a fund that's more expensive than the one you already hold. There are no charges or tax to pay. Before transferring please read about converting funds at [www.hl.co.uk/convert](#).
We'll contact you to cover your options if your provider cannot transfer your pension investments or you hold an investment we do not offer.

Your details

Title (Mr, Mrs, etc):

First name(s):

Surname:

Address:

Postcode:

Date of birth:

National Insurance No.

Tick here if you have no NI No.

Pension details

☐ Transfer your pension as cash

☐ Transfer your pension investments

Pension name:

Policy number:

Approx. value of funds £

☐ Tick if partial transfer

Pension type:
e.g. Stakeholder

Name and address of administrator:

Postcode:

I confirm

• I understand my pension will be transferred as cash if I haven't selected one of the transfer options above.

• I have read the Transfer Checklist, checked if I will lose benefits or incur penalties and wish to transfer the policy listed above.

• I have read, understood and agree to the Common Transfer Declaration.

• Hargreaves Lansdown has not given me advice; I am responsible for my decision to

transfer and I will seek personal financial advice if I am unsure transferring is right for me.

• I have read, agreed to and retained the Key (Investor) Information Document of my chosen investments (where available), including all costs and charges, provided to me at [www.hl.co.uk](#) or on paper.

• I authorise the current provider as listed above to give Hargreaves Lansdown any information they require about my membership of the above scheme.

Please sign here

SIGNATURE

Date:

7. Which investments would you like to choose?

You can choose to invest in the HL Ready-Made Pension Plan and/or investments of your choosing. If you leave this section blank, we'll leave your pension as cash.

Choose the Ready-Made Pension Plan

The Ready-Made Pension Plan is a simple, low-cost investment solution, exclusively available to SIPP clients. The plan is managed by experts and aims to grow your money when you're younger, then lower risk as you get closer to retirement. The plan is made up of two funds to help meet that objective.

The HL Ready-Made Pension Plan is designed for people who:

• want to take control of their pension but don't know where to invest

• need experts to make the day-to-day decisions

• are comfortable with medium-high investment risk for growth

• want lower investment risk closer to retirement age

• can review their investments at least once a year

Please note, the plan has not been designed to meet your individual needs or goals. Consider other investments if the plan does not meet your needs. If you're not sure what's right for your circumstances, you should ask for financial advice.

Please read the Key Investor Information Documents (KIID) included before investing. You can read more information at [www.hl.co.uk/rmpp](#).

	Transfer (min. £100 per fund)	Lump sum (min. £100 per fund)	Monthly saving (min. £25 per investment)
HL Ready-Made Pension Plan	%	£	£
Add/Choose other investments (or cash) Full fund/share name	%	£	£
	%	£	£
If you'd like to choose more investments please let us know on a separate sheet.	Cash %	£	£
	Total 100 %	£	£

8. Declaration – please remember to include your cheque (if applicable)

For your own benefit and protection you should read our Terms and Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I confirm I am applying to join the HL SIPP. I have read in full, agreed to and retained:

• The Terms and Conditions of the HL SIPP.

• The Key Features of the HL SIPP, Important Investment Notes and Contribution and Transfer Checklists.

• Key (Investor) Information Document of my chosen investments (where available), and all costs and charges, provided to me at [www.hl.co.uk](#) or on paper.

I agree to be bound by the Scheme Rules, and acknowledge that these have been made available to me by HL.

I confirm that I will be a relevant UK individual in any tax year in which personal contributions are paid. I declare

that the total contributions that I will make to the HL SIPP and any other Registered Pension Scheme where I am entitled to relief under Section 188 of the Finance Act 2004 will not exceed the higher of:

• The basic amount (currently £3,600) or

• 100% of my Relevant UK Earnings (within the meaning of section 189 of the Finance Act 2004) for that tax year.

This declaration and the particulars given in this application are, to the best of my knowledge and belief, correct and complete.

If an event occurs which means that I will no longer be entitled to tax relief under Section 188 of the Finance Act 2004, I will inform Hargreaves Lansdown Asset Management by 5th April of the tax year in which the event occurs or 30 days after the event if later.

If I make a contribution, of which part or all is not eligible for tax relief, I will notify you separately on or before the date of the contribution.

I will advise Hargreaves Lansdown Asset Management Ltd, in writing, within 30 days if I cease to be a UK resident.

I confirm that I have not received advice from HL regarding the merits of entering into, or the suitability of any aspect of, the HL SIPP unless that aspect has been covered under a separate agreement for individual advice from a Hargreaves Lansdown Financial Adviser. If I have any doubts I will seek personal advice, in particular regarding the merits of the various pension options I may have available to me now and in the future.

By signing this declaration I am allowing HL to process my application using the information that I have provided.

This declaration and any other declaration made by me in connection with this application shall be the basis of the contract between me and Hargreaves Lansdown Asset Management Ltd.

False Statements – It is a serious offence to make false statements; the penalties are severe and could lead to prosecution.