

Octopus Titan VCT application form



How to complete this application form

Please complete this form in **block capitals** using **black ink**. Ensure you answer all the questions marked with an '*'. Leave blank any boxes that don't apply to you. Once completed, please send this form – along with your investment – to: **Octopus Investments Limited, PO Box 10847, Chelmsford CM99 2BU**.

Before completing this application form, you should read the prospectus dated 5 September 2017, which includes the Terms and Conditions of the Offer. The Offer will close at 12 noon on 4 September 2018 unless closed sooner.

Please note that HM Revenue & Customs (HMRC) places restrictions on buying and selling shares in the same VCT within a six-month period. This means that if you have sold, or will sell, existing shares in Octopus Titan VCT within the six months before or after this investment, you will not be able to claim any upfront tax relief.

Section 1 – About the investor

* Title (Mr/Mrs/Miss/Ms/Other)		
* First name(s)		
* Last name		
* Are you an existing Octopus client?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
* Country of birth		
* Country(ies) of citizenship		
* Nationality		
* Date of birth DD MM YYYY		
* National Insurance number		
* Telephone numbers	Country code	Telephone number
Primary:		
Secondary:		
* Email address		
* Address line 1		
Address line 2		
* Town/City		
County		
* Country		
* Postcode		
* Information from Octopus	<input type="checkbox"/> Octopus may occasionally send you information that we believe to be of interest to you, e.g. newsletters. If you don't want to receive such information, please tick the box. <input type="checkbox"/> The printing and postage costs of sending out Octopus Titan VCT Annual and Interim reports are paid for by the VCT itself. Therefore, to reduce waste and to help cut costs, we will automatically send these to you electronically via the email address you give us. Please tick the box if you would prefer to receive these reports by post. You can update your preference at any time by calling us on 0800 316 2295 .	

Section 2 – About the investment

* Payment options

- ☐ Investing via a one-off payment into the current tax year (2017/18), the next tax year (2018/19), or both – please complete **Section 2.1**.
- ☐ Investing via monthly Direct Debit – please complete **Section 2.2**.

2.1 One-off investment

You can choose to invest in the current tax year (2017/18), the next tax year (2018/19), or both. Please indicate the amount(s) in the relevant box(es). The minimum investment is £3,000 and the maximum investment still qualifying for tax relief is £200,000 in any one tax year.

2017/18

£

2018/19

£

If your investment is for the 2018/19 tax year, we will hold your investment in cash until that time.

☐ Bank transfer

Please transfer your funds to the following account after first sending in your completed application form, making sure that you **reference the payment with your surname and initials**:

Account name:	Octopus Titan VCT – Applications		
Sort code:	40-03-28	Bank:	HSBC
Account number:	82603330	Branch:	Holborn

Payments need to come from your personal account.
(We do not accept payments from business accounts or third parties, including your spouse.)

☐ Cheque/banker's draft

Please enclose a cheque from your personal account, made payable to 'Octopus Titan VCT – Applications'. We do not accept cheques from business accounts, third parties (including your spouse) or post-dated cheques. Banker's drafts and building society cheques must specifically mention the investor's name.

2.2 Monthly contribution

☐ Direct Debit

You can choose to invest by monthly Direct Debit. To do so, please fill in the monthly Direct Debit amount below and complete **Section 9** of the application form. Money will be collected on or around the 14th day of the month. You can cancel your Direct Debit at any time by giving us a call on **0800 316 2295**. Just let us know before the first day of the month in order for us to cancel that month's collection. More details can be found on the 'Three ways to invest' flyer, and the full Direct Debit guarantee is available at the back of the application form.

Monthly Direct Debit amount

£

(minimum £1,000)

Section 3 – Dividend reinvestment or payment

* Dividend reinvestment or payment?

(You must select one of these two options)

Any dividends paid by the VCT can be reinvested in additional VCT shares, or received as cash payments into your bank account. Please select your preferred option. If you select the dividend payment option, please complete the bank details section as well.

☐ Reinvest dividends

By completing this section, you confirm that you've read and understood the Terms and Conditions of the Dividend Reinvestment Scheme as set out in Annex 1 of the prospectus.

Please note that reinvested dividends will count towards your £200,000 annual VCT allowance – the amount on which you can claim tax relief.

☐ Pay out dividends

Please provide details of the bank account you would like future dividends to be paid into (the bank account must be in your name).

Sort code

Account number

Account holder name

If you are an existing Octopus Titan VCT shareholder, your instruction to reinvest or pay out dividends here overrides any previous dividend instructions you have given us relating to existing Octopus Titan VCT investments.

If you are a direct investor, please go straight to Section 5.1.

However, we always recommend you talk to a qualified financial adviser before making any investment decision.

Section 4 – Adviser/intermediary details (to be completed by your adviser/intermediary)

Company	Hargreaves Lansdown												
Title (Mr/Mrs/Miss/Ms/Other)													
First name(s)	Ella												
Last name	Sibthorpe												
Telephone	0117 900 9000												
* Email address	ella.sibthorpe@hl.co.uk												
* Address	One College Square South, Anchor Road												
	Bristol												
* Country	United Kingdom					Postcode	B	S	1		5	H	L
* Company FCA number	1	1	5	2	4	8							
							* Adviser FCA number						
* Are you part of a network/service provider?	<input type="checkbox"/> No <input type="checkbox"/> Yes – please give us the network/service provider name												
* Network/service provider FCA number													

Section 5 – Adviser/intermediary payment

* What type of investment is this? (Complete one section only)	<p>All Octopus fees and charges are outlined in both the prospectus and the brochure. The VCT can also facilitate payments to your financial adviser/intermediary. Please complete either Section 5.1, 5.2, 5.3 or 5.4. Failure to complete this section correctly could result in delays to your investment. If you have any questions, please call us on 0800 316 2295.</p>												
5.1	<input type="checkbox"/> This is an advised investment with an initial adviser charge and/or an ongoing adviser charge Please indicate the level of initial charges and/or ongoing charges you have agreed with your adviser. If you have agreed with your adviser that you are not paying the maximum available adviser charge, the remaining amount will be rebated to you as additional shares, as outlined in the prospectus. To my adviser Initial: <input type="text"/> % (maximum available charge of 2.5%) Ongoing: <input type="text"/> % (maximum available charge of 0.5%) Please go straight to Section 7 .												
5.2	<input type="checkbox"/> This is an advised investment with an initial adviser charge and no ongoing adviser charge Please indicate the level of initial adviser charge you have agreed with your adviser. If you have agreed that you are not paying the maximum available adviser charge, the remaining amount will be rebated to you as additional shares, as outlined in the prospectus. To my adviser Initial: <input type="text"/> % (maximum available charge of 4.5%) Please go straight to Section 7 .												
5.3	<input type="checkbox"/> This is a direct investment with no adviser or intermediary involved Please complete Section 6 – Source of wealth.												
5.4	<input checked="" type="checkbox"/> This is a non-advised investment through an intermediary and you have not received financial advice Initial commission <input type="text"/> 0 % Ongoing commission <input type="text"/> 0.5 % Standard terms will apply if left blank. Commission should not exceed our standard terms (given in the Octopus Titan VCT prospectus and brochure), otherwise this form may be rejected. If your execution-only intermediary chooses not to take any upfront commission, this amount will instead be used to buy additional VCT shares for you. Please complete Section 6 – Source of wealth .												
Special instructions													

Section 6 – Source of wealth

Only to be completed if this is a direct or non-advised investment through an intermediary, i.e. you have ticked either 5.3 or 5.4.

What's the source of your wealth?

Please tell us how you acquired the money you plan to invest, select all that apply.

- ☐ Earned income ☐ Ownership in a company ☐ Inheritance ☐ Gifts
☐ Sale of property ☐ Investment proceeds ☐ Other

If other, please specify:

(In some cases, further information and documentary evidence may be required.)

Section 7 – Tax residency status

- * 7.1** We are legally required to collect information about the tax residency and classifications of each investor. This information may be shared with HMRC, and may be transferred to the government of another territory in accordance with relevant agreements. For further information, please visit octopusinvestments.com/reportingforms.

Are you a tax resident, or do you complete tax returns in any country other than the UK?

☐ No – please go straight to **Section 8**.

If your country of birth or country of citizenship is outside of the UK, please send us a certified copy of a valid UK ID, such as a UK driving licence or passport, or a certified letter from HMRC, to confirm your UK tax residency status.

☐ Yes – please complete the table below.

- 7.2** Please list the country(ies), other than the UK, in which you are resident for tax purposes. Please provide the Taxpayer Identification Number (TIN) or functional equivalent for each country, or tick the relevant box if the country does not provide a TIN.

Country	Taxpayer Identification Number (TIN)	TIN not available
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

Section 8 – Investor declaration

By signing this form, I HEREBY DECLARE THAT:

1. My decision to invest has been made on the basis of the information in the Octopus Titan VCT prospectus and Key Information Document (KID)
2. I have read and understood the Octopus policies regarding best execution, conflicts of interest and gift and benefits, all available at octopusinvestments.com.
3. I have provided accurate information, to the best of my knowledge.
4. I consent to Octopus facilitating my adviser's fees and charges as set out in **Section 5**.

*** Investor name**

*** Investor signature**

*** Date signed**
DD MM YYYY

Instruction to your bank or building society

Section 9 – Instruction to your bank or building society

Please only complete this section if you are setting up a Direct Debit.

Send this section to: Octopus Titan VCT plc, PO Box 10847, Chelmsford CM99 2BU.

To the manager:

Bank or building society name

Branch address

Postcode

Name(s) of account holder(s)

Account number

Branch sort code

Service user number

Reference number (for Octopus Investments use only)

Please pay Octopus Titan VCT plc Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Octopus Titan VCT plc, and if so, details will be passed electronically to my bank/building society.

Signature

Date signed

DD/MM/YYYY

Banks and building societies may not accept Direct Debit Instructions for some types of accounts.



This guarantee should be detached and retained by the Payer.

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Octopus Titan VCT plc will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Octopus Titan VCT plc to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Octopus Titan VCT plc or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – if you receive a refund you are not entitled to, you must pay it back when Octopus Titan VCT plc asks you to.
- You can cancel a Direct Debit at any time by writing to your bank or building society. Written confirmation may be required. Please also notify us.



To help us get your investment up and running as quickly as possible, please review this checklist before sending your application.

- ☐ You have arranged for a payment as per **Section 2**.
- ☐ You've signed and dated where indicated in **Section 8**.
- ☐ If you are paying by Direct Debit, you have signed and completed the Direct Debit mandate form in **Section 9**.
- ☐ Once complete, please send your application form to:

Octopus Investments Limited
PO Box 10847
Chelmsford
CM99 2BU



What happens next?

- 1** We'll let you know when we've received your funds and application form, and whether we need any further information from you.
- 2** After that, we will allot your VCT shares at the next available date. These dates are usually listed on our website. This process can take several months, but we always aim for shares to be allotted in the same tax year as the application was made.
- 3** We'll write to let you know when your shares have been allotted. We'll also enclose your share and income tax certificates.
- 4** After that, as a shareholder, you'll receive annual and half-yearly reports containing the full financial statements for your VCT: these include updates from the Chairman of the VCT and Octopus, the fund manager.



Got any questions?

We don't offer financial or tax advice, which is why we always recommend talking to a qualified financial adviser before making any investment decisions. However, if you have any other questions about Octopus Titan VCT, please call us on **0800 316 2295**. We're always happy to hear from you.



0800 316 2295
clientrelations@octopusinvestments.com
octopusinvestments.com



Send completed form to:

Octopus Investments
PO Box 10847
Chelmsford CM99 2BU