

Office use only APVT1

The cost of transferring stock to another Vantage Fund & Share Account is £12.50 per holding. These fees will be collected from the receiving party's Vantage Fund & Share Account.

Title (Mr, Mrs etc)	Full Forenames										Surname												
Permanent Residential Address																							
Postcode	Telephone Number						Client Number													*A/C Designation (if applicable)			

Title (Mr, Mrs etc)	Full Forenames	Surname
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Please note that if investments are removed from the ISA, they will lose the ISA status and will therefore cease to be held within the tax efficient wrapper. You cannot transfer ISAs between account holders - all transfers will be made into the Vantage Fund & Share Account provided in Section 3.

☒ Fund & Share Account
 ☒ Stocks & Shares ISA
 ☒ Stocks & Shares ISA 2 (formerly mini)
 ☒ Stocks & Shares ISA 3 (formerly PEP)

FULL NAME OF FUND OR SHARE	NUMBER OF UNITS OR SHARES

Partial cash transfer	£
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Alternatively, request an additional form or photocopy this form. Additional form or letter attached? ☒

Title (Mr, Mrs etc)	Full Forenames										Surname													
Permanent Residential Address																								
Postcode				Telephone Number				Client Number												*A/C Designation (if applicable)				

Title (Mr, Mrs etc)	Full Forenames	Surname
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The original cost price ☒ The cost price on the transfer date ☒

those holdings or cash losing their ISA status and I accept that this cannot be reversed. I have not received, and will not receive advice from Hargreaves Lansdown on the suitability of this instruction, specifically noting tax advice and gifting sums to other parties. I accept that a fee will be charged to the receiving party at the charges of £12.50 per holding, and that in the event that a holding cannot be transferred due to absent information, the transfer will be dealt with as a separate instruction in line with the Terms and Conditions.

Joint Holder's Signature (if applicable)

X PLEASE SIGN HERE **X** PLEASE SIGN HERE Date

**FOR GUIDANCE, PLEASE SEE
THE FOLLOWING PAGE**

Transferring between Vantage Accounts

Section 1 Personal Details

Section 2A The account you would like to transfer

Section 2B Partial transfer

Transfer between Vantage Accounts

This form enables you to transfer investments from a Vantage Account (excluding SIPPs) in your name, to a Vantage Fund & Share Account held in a different name. You must ensure the Vantage Fund & Share Account you are transferring into is open before returning this form. The cost of transferring will be collected from the Vantage Fund & Share Account to £12.50 per holding. These fees will be collected from the receiving party's Vantage Fund & Share Account.

SECTION 1 PERSONAL DETAILS - Please complete all sections

1 Full Name (surname first)
First Name
Middle Name
Last Name
Telephone Number
Client Number
NIC Designation (if applicable)
Signature
Date

SECTION 2A PLEASE SELECT THE ACCOUNT YOU WOULD LIKE TO TRANSFER HOLDINGS/CASH FROM

If you wish to transfer more than one account, please complete a separate form for each account.
Please indicate if investments are transferred from the ISA, they will lose the ISA status and will therefore cease to be held within the tax efficient wrapper. You cannot transfer ISAs to other holders - all transfers will be made into the Vantage Fund & Share Account provided in Section 3.

From: ☐ Stocks & Shares ISA ☐ Stocks & Shares ISA 2 (formerly mini) ☐ Stocks & Shares ISA 3 (formerly PEP)

2A ☐ ALL CASH ☐ PARTIAL ☐ CLOSE ACCOUNT AFTER TRANSFER

SECTION 2B PARTIAL TRANSFER

Full Name of Fund or Share:
Number of Units or Shares:

2B

Partial cash transfer

If you wish to transfer more than one individual holding, please provide details on a separate letter signed by the holder.
Alternatively, request an additional form or photocopy this form. Additional form or letter attached?

SECTION 3 THE FUND & SHARE ACCOUNT TO BE CREDITED - This account must be open - Please complete all sections

If the account is not open, please enclose a Vantage Fund & Share account application form signed by the account holder.

Full Name (surname first)
First Name
Middle Name
Last Name
Telephone Number
Client Number
NIC Designation (if applicable)
Signature
Date

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When transferring the units, how would you like the cost price to be displayed? (See the following page for guidance)
The original cost price ☐ The cost price on the transfer date ☐

SECTION 4 SIGNATURE AND DECLARATION - to be signed by the holders in section 1

I confirm that I wish to irrevocably gift the holdings and/or cash listed above. The responsibility of the assets will be transferred to the new parties and we have no further claim on the holdings.
I have read, agreed to and retained the Terms & Conditions. The declaration and the information I have given in my application is correct to the best of my knowledge and belief and will be the basis of the contract between me and Hargreaves Lansdown. I understand that in instructing a transfer of holdings or cash from an ISA I will result in these holdings or cash losing their ISA status and I accept that I have not retained, and will not create, advice from the suitability of this instruction, specifically noting the advice given to other holders. I accept that the fee will be charged to the receiving party's holding, and that in the event that a holding cannot be transferred, the transfer will be dealt with as a separate transaction and will be subject to the Terms and Conditions.

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Full Holder's Signature Joint Holder's Signature (if applicable) Date

Please return to: Freepost HARGREAVES LANSDOWN

THE FORMER PLEASE SEE THE FOLLOWING PAGE

Section 3 The Fund & Share account to be credited

Section 4 Signature & Declaration

Please return this form to:

**Freepost
HARGREAVES LANSDOWN**

Section 1 Personal Details

Please complete all your personal details, including your telephone number and client number. If you do not provide your client number, your application will be rejected.

Section 2A The account you would like to transfer

Please select the account you would like to transfer from. You can only transfer from one account using this application form. If you wish to transfer holdings from more than one account, please complete a separate application form.

Please indicate whether you want to transfer all holdings, all cash or if you only want to transfer part of your

portfolio. If you are transferring part of your portfolio, you will need to complete Section 2B.

You may also request for the account to be closed upon completion of the transfer. This can only be done if all holdings and all cash are transferred.

Section 2B Partial transfer

Only complete this section if you have ticked partial transfer in Section 2A. You must write the full name of the fund or share and the number of units or shares you would like to transfer.

Section 3 The Fund & Share account to be credited

Please complete all the personal details of the person

receiving the transfer, including their telephone number and client number. If they do not have a client number, you can enclose an application form for a new Vantage Fund & Share Account. This must be signed by the account holder. This form can be downloaded at www.hl.co.uk or you can contact our Helpdesk on **0117 900 9000**.

Section 4 Signature and declaration

Please ensure you sign and date before you return the form. If the account is a joint account, both holders must sign the form. If the account is a corporate account, two account signatories will need to sign the form.

Frequently asked questions

Q. Are there any charges to transfer?

A. There is a fee of £12.50 per holding which is to be paid by the recipient of the transfer. There is no charge to transfer cash.

Hargreaves Lansdown can accept no liability for additional charges levied to the recipient where the initial application is incomplete or when the recipient is unable to accept holdings at the point of transfer.

Q. How will the fees be collected from the receiving party's account?

A. The fees will be added to the fees account which can be viewed by logging in and going to the transaction history.

Q. What happens if I have a pending instruction or outstanding management fees on my account?

A. All pending instructions must have settled and all fees must be paid before we make the transfer.

Q. What is the cost price?

A. Cost prices indicate the original cost of an investment and can help you keep track of performance. You will find cost figures on your six monthly statements and on your online account.

Q. What is the difference between the original cost price and the cost price on the transfer date?

A. When transferring you can choose how the cost of the investments are displayed in the new account.

The original cost price is the cost of the holdings when they were originally purchased. The cost price on the transfer date is the value of the holdings as at the date of transfer.

Q. Can I transfer to more than one account or recipient?

A. Yes, but you will need to complete a separate form for each account and recipient. You can either photocopy this form or call our Helpdesk on **0117 900 9000** for an additional form.

Q. Can I see the transfer online?

A. Yes, when the transfer is complete your holdings will be updated.

Q. Can you hold a transfer instruction?

A. No, we cannot hold transfer requests. All transfers will be processed when they are received.

Q. If I make a transfer from my ISA, will the investments lose their ISA status?

A. Yes, once the transfer is made the investments will lose their ISA status. This cannot be reclaimed. If you are concerned about tax and the implications of making a transfer please seek suitable tax advice before proceeding.

Q. How will the recipient know when the transfer has been completed?

A. They will be contacted in writing on completion of the transfer.