

# Nationality and National Client Identifier (NCI) Help Sheet

Please use this Help Sheet to complete your Nationality and National Client Identifier, find out more about the legislation (Markets in Financial Instruments Directive II) and see our Frequently Asked Questions.

Country Name	Primary National Client Identifier	Secondary National Client Identifier	Third National Client Identifier
<b>Austria</b>	No NCI		
<b>Belgium</b>	Belgian National Number (Numéro de registre national - Rijksregisternummer)	No NCI	
<b>Bulgaria</b>	Bulgarian Personal Number	No NCI	
<b>Cyprus</b>	National Passport Number	No NCI	
<b>Czech Republic</b>	National identification number (Rodné číslo)	Passport Number	No NCI
<b>Germany</b>	No NCI		
<b>Denmark</b>	Personal identity code 10 digits alphanumerical: DDMMYYXXXX	No NCI	
<b>Estonia</b>	Estonian Personal Identification Code (Isikukood)		
<b>Spain</b>	Tax identification number (Código de identificación fiscal)		
<b>Finland</b>	Personal identity code	No NCI	
<b>France</b>	No NCI		
<b>Great Britain/United Kingdom</b>	UK National Insurance Number	No NCI	
<b>Greece</b>	10 DSS digit investor share	No NCI	
<b>Croatia</b>	Personal Identification Number (OIB - Osobni identifikacijski broj)	No NCI	
<b>Hungary</b>	No NCI		
<b>Ireland</b>	No NCI		
<b>Iceland</b>	Personal Identity Code (Kennitala)		
<b>Italy</b>	Fiscal code (Codice fiscale)		
<b>Liechtenstein</b>	National Passport Number	National Identity Card Number	No NCI
<b>Lithuania</b>	Personal code (Asmens kodas)	National Passport Number	No NCI
<b>Luxembourg</b>	No NCI		
<b>Latvia</b>	Personal code (Personas kods)	No NCI	
<b>Malta</b>	National Identification Number	National Passport Number	
<b>Netherlands</b>	National Passport Number	National Identity Card Number	No NCI
<b>Norway</b>	11 digit personal id (Foedselsnummer)	No NCI	
<b>Poland</b>	National Identification Number (PESEL)	Tax Number (Numer identyfikacji podatkowej)	
<b>Portugal</b>	Tax Number (Número de identificação fiscal)	National Passport Number	No NCI
<b>Romania</b>	National Identification Number (Cod Numeric Personal)	National Passport Number	No NCI
<b>Sweden</b>	Personal Identity Number	No NCI	
<b>Slovenia</b>	Personal Identification Number (EMSO: Enotna Maticna Številka Občana)	No NCI	
<b>Slovakia</b>	Personal Number (Rodné číslo)	National Passport Number	No NCI
<b>All other countries</b>	<b>National Passport Number</b>	<b>No NCI</b>	

## Where your country of nationality has a National Client Identifier you will need to provide it. Failure to supply the identifier may delay your application.

If your country of nationality doesn't have an identifier, the legislation allows us to use a shortened form (concatenation) of your forename, surname and date of birth. Simply tick 'No NCI' on the form if this applies.

If you have multiple nationalities, please provide the nationality and National Client Identifier which appears highest up the list. For example, if you have a dual Spanish and UK nationality, simply list that your nationality is Spanish and the Spanish identifier shown above. Please note that the order the countries are listed in was determined by the regulator.

You only need to provide one National Client Identifier, and this should always be the primary wherever possible.

Please turn over for FAQs...

# Frequently Asked Questions about MiFID II

## What is MiFID II?

The Markets in Financial Instruments Directive 2 (MiFID II) is new legislation coming into effect on 3 January 2018. The main aims of MiFID II are to strengthen investor protections by providing greater transparency in financial markets and to harmonise legislation across the European Economic Area.

The rules require financial services companies like Hargreaves Lansdown to collect additional information about their clients. Specifically, we require the nationality and National Client Identifier (e.g. National Insurance Number for UK nationals) for all clients who want to buy or sell shares, ETFs/ETCs, investment trusts, bonds and a number of other stock market-listed securities.

## What do I need to do?

If you want to trade stock market-listed securities such as shares, or think you may want to trade them in future, you must provide us with your nationality and National Client Identifier (e.g. National Insurance Number for UK nationals).

## What happens if I don't provide my details?

From 3 January 2018 you will not be able to buy and sell shares, ETFs/ETCs, Investment Trust or Bonds and a number of other stock market-listed securities. You'll also be unable to take part in certain corporate actions on these holdings, should one arise.

## If I don't have a national insurance number (or other National Client Identifier) can I still trade shares?

Clients who do not have the required National Client Identifier (NCI) can trade shares but the legislation requires us to submit a shortened version (concatenation) of your forename, surname and date of birth as your personal identifier e.g. 19640629MICHASMITH.

Please note; even if you don't have a National Insurance Number or other specified NCI, you must still confirm your nationality and indicate 'No NCI' on the form in place of your National Client Identifier. If you do not complete and return the form you will not be able to trade.

If your country of nationality specifies a National Client Identifier and you are in possession of it you must provide it. Failure to do so may delay to your application.

## If I don't provide the information will I be forced to sell my shares?

No, you won't be forced to sell, but from 3 January 2018 you will not be able to sell your shares, buy more or take part in certain corporate actions until the information has been provided.

## I only hold funds (unit trusts/OEICs), do I need to provide these details?

No, you do not need to take any action. Please remember that should you wish to buy or sell shares (or other listed securities) in future you will not be able to do so until you have provided the required information.

## What will you do with my information?

The rules require us to share your nationality and National Client Identifier along with your first name, surname and date of birth with the Financial Conduct Authority for every equity trade you place.