

FORM 5 (TRANSFER OUT)

# NOMINEE SERVICE

PLEASE COMPLETE IN **BLOCK CAPITALS** THROUGHOUT USING **BLACK INK**.

## Transfer to an alternate CREST participant

Existing member(s)	Full name(s) of all existing member(s):	
	Address of first named member:	
	Investor code (as shown on your statement):	
	Date of Birth:	

To meet our regulatory obligations, we may need to verify the name and address of the person(s) transferring the shares. If this is necessary, we will contact you to ask for certain identity documents. Or, you can authorise us to carry out an electronic check instead.

To give us permission to complete an electronic identity check, please tick the box below. The check we may carry out will show on your credit history, but it has no negative impact to your credit rating.

By ticking this box all of the above shareholders (or representatives) give consent for Link Market Services Trustees Limited to carry out an electronic identity check if necessary. With your permission, if necessary we will attempt to verify your identity and address electronically. If we are unable to verify your identity and address in this way, we will still need to contact you to request identity documents.

Security to be transferred	<b>SMITHSON INVESTMENT TRUST PLC - GB00BGJWTR88 - ORDINARY SHARES OF 1p EACH</b>	
Number of shares to be transferred	Words	
	Figures	
Transfer to	Full name and address of broker/bank/nominee you are transferring your shares to:	
	Contact name:	Phone number:
	CREST participant ID:	
	Your account number or reference with them:	
CREST details at Link Asset Services	Participant ID <b>7QUAG</b>	Member Account ID <b>SMITHNOM</b>

Declarations and signature(s) of existing member(s)	I/We hereby instruct Link Asset Services, a trading name of Link Market Services Trustees Limited, to transfer the above security to the CREST participant as detailed above to give effect to this transfer.	
	I/We confirm that there is no change of beneficial ownership hence this transaction is not subject to Stamp Duty Reserve Tax.	
	I/we understand that if this transaction results in the withdrawal of all of my/our holding from the Nominee Scheme, my/our agreement with Link Market Services Trustees Limited based on the terms and conditions will terminate.	
	Signature of <b>all</b> existing member(s):	
Date		

**Return the signed original completed form to Regulated Business, Link Asset Services, Shareholder solutions, 34 Beckenham Road, Beckenham Kent BR3 4TU**

Enquiries about the Nominee Service, or the Terms and Conditions, should be made to LMST either by post to Link Market Services Trustees Limited, Nominee Service at the address detailed below, or by e-mail to: [custodymgt@linkgroup.co.uk](mailto:custodymgt@linkgroup.co.uk) or by telephoning our Helpline on 0371 664 9272, or if calling from outside the UK on +44371 664 9272. Calls are charged at the standard geographic rate and will vary by provider. Calls outside the United Kingdom will be charged at the applicable international rate. We are open between 09:00 - 17:30, Monday to Friday excluding public holidays in England and Wales. Please quote your Investor Code and the Company name.

### Part of Link Group

Link Asset Services is a trading name of Link Market Services Trustees Limited which is authorised and regulated by the Financial Conduct Authority. Registered office: The Registry, 34 Beckenham Road, Beckenham, Kent BR3 4TU. Registered in England and Wales No. 2729260. Link Asset Services is a division of Link Group and a trading name of the companies in the division which provide a range of corporate and financial services and related products. For further information about the companies in Link Asset Services, including their legal and regulatory status, visit [www.linkassetsservices.com/legal-regulatory-status](http://www.linkassetsservices.com/legal-regulatory-status)