

JUNIOR STOCKS AND SHARES ISA TRANSFER

Use this form to transfer an existing Junior ISA or Child Trust Fund (CTF) to the HL Junior ISA

If you have any questions call us on ☎ **0117 900 9000**, visit 🌐 www.hl.co.uk or email ✉ Helpdesk@hl.co.uk

Return this form to: **Hargreaves Lansdown, 1 College Square South, Anchor Road, Bristol, BS1 5HL**

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1. Child's details – please make sure the child is eligible for a Junior ISA; turn over for help

Title (Master, Miss, etc):	First name(s):	Surname:
Address:		
Postcode:	Nationality:	
Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	If the child is over 16 and has no NI No. tick here. <input type="checkbox"/>

2. Your details – these must match the details of the registered contact on the account you're transferring; turn over for help

Title (Mr, Mrs, etc):	First name(s):	Surname:
Address:		Postcode:
National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Tick here if you have no NI No. <input type="checkbox"/>	Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Nationality:	Main tel. no:	Email address:

You will be the registered contact for this Junior ISA. Turn over for details.

3. Transfer a Junior ISA – ignore this step and fill in section 4 if you're transferring a CTF

I AM TRANSFERRING THE ENTIRE JUNIOR STOCKS AND SHARES ISA I would like to: transfer the Junior ISA as cash **OR** transfer the Junior ISA investments

OR

I AM TRANSFERRING THE ENTIRE JUNIOR CASH ISA Tick here if you'd like to transfer the Junior Cash ISA immediately (turn over for details)

DETAILS OF THE JUNIOR ISA YOU'RE TRANSFERRING

ISA company's name:	Company postcode:
ISA reference/account no.	Cash ISA sort code (if applicable): <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>
Approx. transfer value:	

I am the parent/guardian named above and I have read and agree to the declaration on page 2. I authorise you to discuss this transfer with HL, including by phone. I understand the Junior Stocks and Shares ISA (JISA) will remain invested if I have not ticked either option above, and where possible HL will convert each matching fund to the cheapest available unit class. I also understand that a JISA will be transferred as cash if the JISA provider doesn't allow stock transfers.

Please sign here  Date:

Full name: (BLOCK CAPITALS)


4. Transfer a Child Trust Fund (CTF) – ignore this step if you're transferring a Junior ISA

I AM TRANSFERRING THE ENTIRE CTF I would like to: transfer the CTF as cash **OR** transfer the CTF investments

DETAILS OF THE CTF YOU'RE TRANSFERRING. You'll find these on your most recent Child Trust Fund statement

CTF company name:	Company postcode:
Child's unique reference number: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	CTF account/reference number: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Approx. transfer value:	

I am the parent/guardian named above and I have read and agree to the declaration on page 2. I authorise you to discuss this transfer with HL, including by phone. I understand the account will remain invested if I have not ticked either option above, and where possible HL will convert each matching fund to the cheapest available unit class. I also understand that the CTF will be transferred as cash if the CTF provider doesn't allow stock transfers.

Please sign here  Date:

Full name: (BLOCK CAPITALS) Postcode:

5. What would you like to do with any income the investments make? – select one

Ignore this step if you've already told us your income preference for the Junior ISA and you'd like to keep this the same. Reinvest income automatically **OR** Hold income as cash in the Junior ISA

6. Would you like to choose the investments now? – leave blank if you're transferring the Junior ISA/ CTF as it is

If you're ready to choose your investments, enter them below. To choose your investments later, leave blank. Make sure you read the costs and charges section of the factsheet for your chosen investment(s), available on the HL website, www.hl.co.uk. If you'd like to choose more investments, please let us know on a separate sheet

<input type="text"/>	%	<input type="text"/>	%
<input type="text"/>	%	Cash – would you like to leave some of your transfer as cash?	%
<input type="text"/>	%	Total	%

Turn over for help and to read the declaration

7. Declaration

For your own benefit and protection you should read our Terms and Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I am applying to transfer a Junior ISA (JISA) or Child Trust Fund (CTF). I declare that I am 18 years of age or over and have parental responsibility for the child named above. I am the registered contact for the Junior ISA or Child Trust Fund being transferred.

Where I am transferring a JISA, I confirm that the child does not have a CTF account and that I have not subscribed and will not subscribe to another JISA of this type for this child. I am not aware that this child has another JISA of this type and I am not aware of other JISA subscriptions

that will result in this child exceeding the annual limit. I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

I authorise HL to: a) hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash; and b) make on the child's behalf any claims to relief from tax in respect of JISA investments.

I have read, agreed to and retained the Terms and Conditions, Important Investment Notes and Key Features of the HL JISA and my chosen investment as well as all costs and charges and, where available the Key (Investor) Information Document provided to me on paper or via www.hl.co.uk.

I confirm that to the best of my belief the information on this form is true and that this

application form shall be the basis of the contract between me and HL. I will notify HL without delay of changes to this information. I have not received advice from HL regarding the suitability of the HL JISA or any aspect of this investment.

Cash Junior ISA only: I understand that the Junior Cash ISA I am transferring will be transferred into a Junior Stocks and Shares ISA.

Child Trust Funds only: I understand that the CTF I am transferring will be transferred into a Junior Stocks and Shares ISA and cannot be transferred back to a Child Trust Fund.

If I am transferring a stakeholder CTF, I understand the following features are not included in a Stocks and Shares Junior ISA: Lifestyling from age 15, minimum subscriptions of £10 and an annual charge cap of 1.5%.

ISA TRANSFER – QUESTIONS AND ANSWERS

What are my transfer options?

- **Transfer the CTF/JISA as cash** – your provider will sell the investments, then transfer the cash amount. The account will not be invested during the transfer, so will not make gains or losses. Once the transfer is complete you'll be able to buy investments. This type of transfer usually takes 2-4 weeks depending on your provider.
- **Transfer the CTF/JISA investments** – all the investments and cash will be transferred. The account will be invested during the transfer, so you could make gains or losses. Usually you cannot trade until the transfer is complete. This type of transfer usually takes 6-8 weeks, depending on your investments and provider.

If you select this option we will convert any funds transferred to the lowest cost version we offer. We will never convert you into a fund that's more expensive than the one you already hold. There are no charges or tax to pay.

We'll contact you to cover your options if your provider cannot transfer the investments or you hold an investment we do not offer.

Transferring does not count towards the Junior ISA allowance.

What happens if I don't select to transfer the Junior Cash ISA immediately?

If you don't tick the option to transfer immediately we'll wait until the end of any fixed term or notice period which applies to the Cash ISA before transferring. If you're unsure please check with your current provider.

Can I transfer a Child Trust Fund (CTF)?

In most cases, yes.

Only a CTF's registered contact can transfer the account and not all CTF providers are able to transfer, so please check with your current provider before filling in the form.

Unfortunately you can't open a Junior ISA without transferring a CTF first. If a transfer is unsuccessful the child's CTF will stay where it is.

If you change your mind after sending us the transfer form you'll have up to two weeks to cancel, before we request the transfer. If you decide to cancel the transfer the CTF will stay where it is.

Can I transfer part of a Junior ISA or CTF?

You can transfer part of a Cash Junior ISA but you can only transfer an entire Junior Stocks and Shares ISA or CTF to us.

Can my child have both a CTF and a Junior ISA?

No, they can't hold both at the same time.

When can I start adding money to the Junior ISA?

Once your transfer has completed you can start adding money straight away online, over the phone or by post.

Are there any charges to transfer?

It's free to transfer to us but your current provider may charge an exit fee, so it's worth checking with them first to make sure you won't lose any valuable guarantees or benefits.

Where can I find the child's unique reference number?

You can usually find this on the child's statement from the current provider. If you're at all unsure or can't find it then you'll need to ask your current provider before returning the form.

Choosing the investments

Let us know what you want to invest in, and how much.

If you're investing in funds please make sure you include both the name of the fund management company (e.g. Jupiter) and the name of your chosen fund (e.g. Global Strategic Bond).

How does the income instruction work?

The income instruction tells us what you want us to do with any income the investments make. It applies to all investments in the Junior ISA.

If you leave the income instruction blank we'll look at what you've told us before. If you haven't given us an instruction any income will be kept as cash in the account.

Reinvest income automatically

We'll reinvest income when it reaches £10 per holding.

If you buy funds we'll buy accumulation units for you where possible.

Hold income as cash in the Junior ISA

We'll hold any income in the account as cash until you've decided what to do with it.

If you buy funds we'll buy accumulation units for you where possible.

Who is 'the registered contact'?

It's the person with parental responsibility who manages the Junior ISA or CTF. There's only one registered contact on any account. The name and address on this form must match those of the registered contact on the account you're transferring.

How much does the HL Junior ISA cost?

Annual account charges

We've removed all HL charges for holding investments in the HL Junior ISA. This includes UK and overseas shares, investment trusts, exchange-traded funds, gilts, and bonds.

Dealing charges

In the HL Junior ISAs, online dealing is free other than any Foreign Exchange (FX) charges which may apply.

Other charges

The investments you choose may have their own charges such as charges from a fund manager. You can find these in an investment's key investor information document, so please read it closely.

Further information on all other charges can be found online at www.hl.co.uk/jisa-charges and in the tariff of charges in our terms and conditions.


Can I invest monthly using a Direct Debit?

Yes, you can use a Direct Debit to invest directly into funds, FTSE 350 shares, and some investment trusts and ETFs – or to simply add cash to your account.

We take payments on the 7th of the month, with investments made on the 10th – or the next working day.

The cut off Direct Debits to be started or cancelled is the 21st of each month. You can provide an instruction for your Direct Debit to be invested online, over the phone or by written instruction in the post. There is no charge to invest via a Direct Debit.

Any questions?

 **0117 900 9000**

 **helpdesk@hl.co.uk**

 **www.hl.co.uk**

Don't want to hear from us?

If you don't want to receive emails from us, just send us an email or write to us to let us know.