

Transfer to the HL Stocks and Shares ISA

Application to transfer existing Stocks and Shares or Cash ISAs into Portfolio+ in the HL Stocks and Shares ISA

1. Personal details – please complete all sections

Office use only
AWPP3

Title (Mr, Mrs etc)	Full Name	Nationality								
Permanent Residential Address										
Postcode	Daytime Tel. No	Date of Birth <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			
National Insurance No.	If you have no NI No. tick here <input type="checkbox"/>	Email Address (if applicable) <input checked="" type="checkbox"/>								

IMPORTANT: the details you provide above MUST match what your current provider holds for you, so please ensure your details are up-to-date before transferring.

2. Nominated Bank Account & Income Instruction – see the following page for further details

Nominated Bank Account	Income Instruction
Please nominate a bank account into which we will pay any income or cash withdrawals. You need not complete this section if you have already nominated a bank account.	Please complete this section only if this is your first application for, or to change the current income instruction on, the HL Stocks and Shares ISA. Please tick one option only.
Name of Account Holder	A <input checked="" type="checkbox"/> Reinvest income automatically
Account Number	B <input checked="" type="checkbox"/> Pay income out to my nominated bank account (see left)
Sort Code	C <input checked="" type="checkbox"/> Hold income as cash in my HL ISA
Roll Number or Building Soc. Ref	

3. Declaration – please ensure you have read and agreed to this declaration before transferring

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms & Conditions, the Important Investment Notes and Key Features of the HL Stocks and Shares ISA and my chosen investment including all costs and charges and, where available, the Key Investor Information Document/Key Information Document provided to me on paper or via www.hl.co.uk.

I authorise Hargreaves Lansdown to: (a) Hold my cash subscriptions, ISA investments, interest,

dividends and any other rights or proceeds in respect of those investments and any other cash; and (b) Make on my behalf any claims to relief from tax in respect of ISA investments.

Cash ISA only: I understand that the Cash ISA I am transferring will be transferred into the HL Stocks and Shares ISA.

The declaration and the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and Hargreaves Lansdown. I will notify Hargreaves Lansdown without delay of changes to these particulars. I confirm that I have not received advice from Hargreaves Lansdown in relation to the opening of this ISA.

4. Choose a portfolio – HL Portfolio+ investment instruction

STEP 1: Investment amount	STEP 2: Investment goal	STEP 3: Risk level Tick ONE portfolio you wish to invest into
Please invest <input type="text"/> % of my transfer into the portfolio chosen to the right (minimum investment £1,000).	I would like to invest for: GROWTH <input type="checkbox"/> OR INCOME <input type="checkbox"/>	HL Portfolio+ Conservative <input type="checkbox"/> Balanced <input type="checkbox"/> Adventurous <input type="checkbox"/>

5. ISA Transfer Authorities – please complete one authority for each ISA you wish to transfer. See overleaf for details

A	ISA Company's Name	Company's Postcode	ISA Reference/Account no	Approx. Transfer Value
Stocks and Shares ISA		OR Cash ISA		
Please transfer my entire Stocks and Shares ISA to the HL Stocks and Shares ISA as cash. <input checked="" type="checkbox"/>		Please transfer my entire Cash ISA to the HL Stocks and Shares ISA . <input checked="" type="checkbox"/>		
		Cash ISA Sort Code: (if applicable) <input type="text"/>		
I have read and agreed to the declaration above.				
PLEASE SIGN HERE <input checked="" type="checkbox"/>		DATE <input type="text"/>		Full Name (BLOCK CAPITALS) <input type="text"/>
Postcode <input type="text"/>				
Direct Debit – cancel my Direct Debit with immediate effect if I have chosen to transfer my ISA for the current tax year.				
I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone.				

B	ISA Company's Name	Company's Postcode	ISA Reference/Account no	Approx. Transfer Value
Stocks and Shares ISA		OR Cash ISA		
Please transfer my entire Stocks and Shares ISA to the HL Stocks and Shares ISA as cash. <input checked="" type="checkbox"/>		Please transfer my entire Cash ISA to the HL Stocks and Shares ISA . <input checked="" type="checkbox"/>		
		Cash ISA Sort Code: (if applicable) <input type="text"/>		
I have read and agreed to the declaration above.				
PLEASE SIGN HERE <input checked="" type="checkbox"/>		DATE <input type="text"/>		Full Name (BLOCK CAPITALS) <input type="text"/>
Postcode <input type="text"/>				
Direct Debit – cancel my Direct Debit with immediate effect if I have chosen to transfer my ISA for the current tax year.				
I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone.				

Portfolio+ HL Stocks and Shares ISA Transfer

Application to transfer existing Stocks and Shares or Cash ISAs into Portfolio+

Section 1

Personal Details

Please complete all your personal details.

You can request not to receive emails from Hargreaves Lansdown by writing to us or emailing us.

Q. Do I need to provide any further documents to prove who I am?

A. No, not at this stage. We use an electronic verification system to verify your identity. If we cannot verify your identity, we shall write to you and ask for further details.

Section 2

Nominated Bank Account & Income Instruction

Q. What is a nominated bank account?

A. If you withdraw money or ask us to pay out income from your HL account, you'll need to nominate a bank account for us to pay money into. You can change

your nominated bank account at any time, but you can't nominate more than one account. The details you give us on your application will override any previous instructions.

Q. How does the income instruction work?

A. Your income instruction tells us what you want us to do with any income your investments generate. The option you choose applies to the whole HL Stocks and Shares ISA account and will override any current instructions.

If you leave the income option blank and you already hold an HL Stocks and Shares ISA we'll look at what you have told us before, or if this is your first investment we'll hold any income on deposit.

Choose option A for automatic reinvestment.

If you've chosen to automatically reinvest any income, we'll do so when it reaches £10 per holding. We'll charge a dealing commission of 1% (£1 minimum, £10 maximum). When you buy funds we'll buy accumulation units for you where possible.

Choose option B to have income paid out.

If you've chosen to have income paid out to your nominated bank account, we'll buy income units for you where possible.

Choose option C to have income held as cash.

If you've chosen to have income held on your account, we'll buy accumulation units for you where possible.

Section 3

Declaration

Please ensure you have read and agree to this declaration before you transfer.

Section 4

Investment Details

Please choose **ONE** portfolio that you wish to invest into. Please remember, our HL Service is designed for people who make their own investment decisions without advice. Ensure you have read the enclosed Key Features and Terms & Conditions.

Q. How much can I subscribe to the HL Stocks and Shares ISA?

A. For the 2018/19 tax year you can invest up to £20,000.

Section 5

Transferring your ISA

Please tell us about the ISA account you want to transfer. You'll find the details on your statement or valuation from your current provider. If you're unsure of any details, you'll need to get in touch with your current provider.

The details you give us must match those held by your current provider.

Q. How will my Stocks and Shares ISA be transferred?

A. Your Stocks and Shares ISA will be transferred to us as cash.

With a cash transfer, your investments are sold and moved to your new account as cash. The amount you enter in section 4 will be invested into your chosen portfolio. Any remaining amounts will be held as cash on your account.

With a cash transfer, you'll be out of the market for a period. So your money will be stable if the market falls, but if it rises you won't benefit.

Your money stays within an ISA, so your transfer won't count towards this year's ISA allowance.

Q. Are there any charges to transfer?

A. We do not charge any joining fees, however your current provider may levy a transfer fee.

Q. Can I transfer part of my ISA?

A. Some providers will allow a partial transfer; please check this directly with your provider or contact our Helpdesk on **0117 900 9000**. To transfer part of a holding only please attach a clear, signed covering letter to your completed transfer form, stating you wish to make a partial transfer and detailing exactly which holdings you wish to transfer.

Q. Can I transfer a Cash ISA?

A. Yes, you can. This form allows you to transfer an existing Cash ISA into the HL Stocks and Shares ISA. If you choose to invest please remember the value can fall as well as rise, so your capital is not guaranteed.

Withdrawals

You can take money out of your HL Stocks and Shares ISA whenever you like. But if you want to put it back in, it'll count towards that year's ISA allowance. If you have previously taken money out of a Flexible ISA with another provider, you will not be able to pay this into your HL Stocks and Shares ISA without it counting as a new subscription for this tax year.

Please note, when you invest into Portfolio+, the growth portfolios are built using accumulation units in all cases, the income portfolios use income units where possible.

Before transferring please check whether you provider levies a fee and how they would like payment.

Return your completed application form to:
Freepost HARGREAVES LANSDOWN

What is my ISA reference number?

Major Fund Groups Include:

Aberdeen, Allianz Global Investors, Artemis, Aviva, AXA, Blackrock, Cazenove, F&C, GLG, Henderson, Invesco Perpetual, Investec, J.P. Morgan, Jupiter, Legg Mason, Liontrust, M&G, Martin Currie, Neptune, Old Mutual, Prudential, Rathbone, Royal London, Schroders, Standard Life Investments, SVM and Threadneedle.

Company	Format of reference number	Example
Major Fund Groups (IFDS)	10 numbers which may have preceding zeros	0001234567
AXA Elevate	EL followed by 7 numbers	EL1234567
Barclays Smart Investor	ID, followed by 7 numbers, hyphen followed by 3 numbers	ID1234567-123
Best invest	BI followed by six numbers	BI123456
Charles Stanley	7 numbers	1234567
Co funds	8 digits beginning with the number 8	81234567
Equiniti	8 numbers	12345678
Fidelity	4 letters followed by 6 numbers 3 letters followed by 7 numbers AS followed by 8 numbers	ABCD123456 ABC1234567 AS12345678

If your provider is not listed, and you are unsure of the reference number, please contact your current provider before returning the transfer form.