

Transfer to the HL Junior Stocks and Shares ISA

Application to transfer an existing Junior ISA or Child Trust Fund into Portfolio+ in the HL Junior Stocks and Shares ISA

1A. Child's details – the child is the owner of the investments held in this Junior ISA or Child Trust Fund

Office use only
AWPP3

Title (Mr, Mrs etc)	Full Name	Nationality
Permanent Residential Address		
Postcode	National Insurance no. (if over 16)	If the child is over 16 and has no NI No. tick here <input checked="" type="checkbox"/>
		Date of Birth
		D D M M Y Y Y Y

IMPORTANT: the details you provide above MUST match what your current provider holds for your child, so please ensure your child's details are up-to-date before transferring.

1B. Parent/Guardian's Details – you must be the registered contact for this Junior ISA or Child Trust Fund to complete this form

Title (Mr, Mrs etc)	Full Name	Nationality
Permanent Residential Address		
National Insurance no.	If you have no NI No. tick here <input checked="" type="checkbox"/>	Email Address (if applicable)
		Date of Birth
		D D M M Y Y Y Y

If your child already holds a Junior SIPP with HL, this application should be made by the same parent/legal guardian who is responsible for that account

2. Income Instruction – see overleaf for details. Please tick one option ONLY

Please complete this section if this is your first application for, or to change the current income instruction on, the HL Junior ISA for this child.

A Reinvest income automatically OR **B** Hold income as cash in the HL Junior ISA

3. Declaration – please ensure you have read and agreed to this declaration before transferring

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I am applying to transfer a Junior ISA (JISA) or Child Trust Fund. I declare that I am 18 years of age or over. I have parental responsibility for the child named above. I will be the registered contact for the JISA. I am the registered contact for the JISA or Child Trust Fund being transferred.

Where I am transferring a JISA, I confirm that the child does not have a Child Trust Fund account and that I have not subscribed and will not subscribe to another JISA of this type for this child. I am not aware that this child has another JISA of this type and I am not aware of other JISA subscriptions that will result in this child exceeding the annual limit. I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

I authorise Hargreaves Lansdown to: a) Hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash; and b) Make on the child's behalf any claims to relief from tax in respect of JISA investments.

I have read, agreed to and retained the Terms & Conditions, Important Investment Notes and Key Features of the HL Junior ISA and my chosen investment including all costs and charges and, where available, the Key Investor Information Document/Key Information Document provided to me on paper or via www.hl.co.uk.

I confirm that to the best of my belief the information on this form is true and that this application form shall be the basis of the contract between me and Hargreaves Lansdown. I will notify Hargreaves Lansdown without delay of changes to these particulars. I have not received advice from Hargreaves Lansdown regarding the suitability of the HL Junior ISA or any aspect of this investment.

Cash Junior ISA only: I understand that the Junior Cash ISA I am transferring will be transferred into a Junior Stocks and Shares ISA.

Child Trust Funds only: I understand that the Child Trust Fund I am transferring will be transferred into a Junior Stocks and Shares ISA and cannot be transferred back to a Child Trust Fund.

If I am transferring a stakeholder Child Trust Fund, I understand the following features are not included in a Junior Stocks and Shares ISA: Lifestyling from age 15, minimum subscriptions of £10 and an annual charge cap of 1.5%.

4. Choose a portfolio – HL Portfolio+ investment instruction

STEP 1: Investment amount

Please invest

%

of my transfer into the portfolio chosen to the right (minimum investment £1,000).

STEP 2: Investment goal

I would like to invest for:

GROWTH

OR

INCOME

STEP 3: Risk level

Risk level

Tick ONE portfolio you wish to invest into

HL Portfolio+

Conservative

Balanced

Adventurous

5. Junior ISA Transfer Authority – transfer a Junior ISA

Junior Stocks and Shares ISA	
JISA Company's Name	Postcode
JISA reference/account no.	Approx. Transfer Value

Junior Stocks and Shares ISA <input checked="" type="checkbox"/>	OR	Junior Cash ISA <input checked="" type="checkbox"/>
Please transfer my child's entire Junior Stocks and Shares ISA to the HL Junior Stocks and Shares ISA as cash.		Please transfer my child's entire Junior Cash ISA to the HL Junior Stocks and Shares ISA . Cash ISA Sort Code: <input type="text"/> - <input type="text"/> - <input type="text"/>

I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone.

I am the parent/guardian named in section 1B and I have read and agree to the declaration in section 3.

PLEASE SIGN HERE <input checked="" type="checkbox"/>	DATE	Full Name (BLOCK CAPITALS)	Postcode
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6. Child Trust Fund Transfer Authority – transfer a Child Trust Fund to the HL Junior Stocks and Shares ISA

Child Trust Fund			
CTF Company's Name	Postcode	CTF Account Number/Reference	Approx. Transfer Value

Child's Unique Reference Number Transfer cannot be processed without this – see overleaf for details

Please transfer the **entire** Child Trust Fund to the **HL Junior Stocks and Shares ISA** as cash.

I am the parent/guardian named in section 1B and I have read and agree to the declaration in section 3.

PLEASE SIGN HERE <input checked="" type="checkbox"/>	DATE	Full Name (BLOCK CAPITALS)	Postcode
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I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone.

Portfolio+ HL Junior Stocks and Shares ISA Transfer

Application to transfer an existing Junior ISA or Child Trust Fund into Portfolio+

Section 1

Personal Details

Please complete all your personal details.

If you don't want to receive emails from us, just send us an email or write to us.

Q. Who is eligible for a Junior ISA?

A. Any child living in the UK under 18 is eligible for a Junior ISA. But children born between 1 September 2002 and 2 January 2011 must first transfer, or have transferred, their Child Trust Fund to a Junior ISA before adding money.

Q. Who can apply for a Junior ISA?

A. Anyone with parental responsibility for the child can apply. Grandparents can't apply unless they have parental responsibility, but they can add money once the account is open.

There can only be one registered contact for a Junior ISA at any one time.

Anyone can add money to a child's Junior ISA, either as a one off payment or monthly by Direct Debit. Any money you add to a Junior ISA becomes the property of the child and can't be repaid.

You can open a Junior ISA for more than one child each tax year. Please complete a separate application for each child.

Q. Do I need to provide any further documents to prove who I am?

A. No, not at this stage. If you are providing payment we'll carry out an electronic check to verify your identity. If this isn't successful we'll contact you.

Section 2

Income Instruction

Q. How does the income instruction work?

A. Your income instruction tells us what you want us to do with any income your child's investments generate. The option you choose applies to the whole Junior ISA and will override any current instructions.

If you leave the income option blank and this child already holds an HL Junior ISA we will look at what you have told us before. If this is your first investment, we'll hold any income on deposit.

Choose option A for automatic reinvestment.

If you've chosen to automatically reinvest any income, we'll do so when it reaches £10 per holding. We'll charge a dealing commission of 1% (£1 minimum, £10 maximum).

Choose option B to have income held as cash.

If you've chosen to have income held on your account.

Section 3

Declaration

Please ensure you have read and agree to this declaration before transferring.

Section 4

Investment Details

Please choose **ONE** portfolio that you wish to invest into. Please remember, our HL Service is designed for people who make their own investment decisions without advice. Ensure you have read the enclosed Key Features and Terms & Conditions.

Q. How much can be subscribed to a Junior ISA?

A. You can invest up to £4,260 per child for the 2018/19 tax year.

Section 5

Junior ISA Transfer Authority

Please complete this section if you are transferring a Junior Stocks and Shares ISA or Junior Cash ISA. The details are available from your current provider. The registered contact named in section 1B must complete this section; by signing you are agreeing to the declaration in section 3. Before transferring, please check that your child will not lose valuable guarantees or benefits or incur excessive exit fees.

Section 6

Child Trust Fund Transfer Authority

Please tell us about the Child Trust Fund you want to transfer. You'll find the details on your child's statement or valuation from their current provider. If you're unsure of any details, you'll need to get in touch with your child's current provider. The registered contact must complete this section.

The details you give us must match what your child's current provider has. Please check with your current provider the transfer is allowed and that your child will not lose valuable guarantees or benefits or incur excessive exit fees. If you've opened a Junior ISA on a provisional basis in anticipation of transferring your child's Child Trust Fund and the transfer is unsuccessful the provisional Junior ISA will be cancelled and any subscriptions will be returned. The original Child Trust Fund status will remain intact.

Please note that in line with HMRC rules we will wait 14 days from the receipt of your application before requesting the transfer from the Child Trust Fund provider. If you wish to cancel the transfer you must do so during this period. If the transfer is cancelled the original Child Trust Fund status will remain intact.

Frequently asked questions

Q. Where will I find the child's Unique Reference Number?

A. You'll find the details on your child's statement or valuation from your child's current provider. If you're unsure of any details, you'll need to get in touch with your child's current provider. The child's Unique Reference Number is in the same format as an adult National Insurance Number e.g. AB123456C.

We will be unable to process the transfer if you don't provide the child's Unique Reference Number.

Q. How will my child's Junior ISA be transferred?

A. If you're transferring either a Child Trust Fund or a Junior ISA to us, this will be done as cash.

With a cash transfer, your investments are sold and moved to your new account as cash. The amount you enter in section 4 will be invested into your chosen

portfolio. Any remaining amounts will be held as cash on your child's Junior ISA.

Q. Can I transfer a Junior Cash ISA to the HL Junior Stocks and Shares ISA?

A. Yes. This form allows you to transfer a Junior Cash ISA to the HL Junior Stocks and Shares ISA.

Q. Can I transfer part of a Junior ISA or Child Trust Fund?

A. You can transfer part of a Junior Cash ISA but you can only transfer the whole of a Junior Stocks and Shares ISA or Child Trust Fund to us.

Q. Can my child have both a Child Trust Fund and a Junior ISA?

A. No, they cannot hold both at the same time.

Q. Do I need to open an HL Junior ISA before I submit my transfer form?

A. No. The transfer form overleaf enables us to open an HL Junior ISA and request the transfer from your existing provider. If you already have an HL Junior ISA, this is also the correct form to complete.

Q. Can I send a cheque with my transfer form?

A. No, you won't be able to make any subscriptions until the transfer is complete. Once completed you can add money by phone, post or online.

Q. Can I link my child's HL Junior ISA to my own online account?

A. Yes. Once we have received the application, we will send you a PIN (by post) to set up online access to the HL Junior ISA account. Once you have set up online access, log in to your own account, click on the 'Add linked account' tab, and follow the simple steps.

Q. Are there any charges to transfer?

A. We don't charge any joining fees, but your current provider might charge a transfer fee. Before transferring please check this with your current provider.

Q. Are there any charges to hold investments in the HL Junior ISA?

A. The charge to hold funds is tiered:

- 0.45% a year on the first £250,000 of funds
- 0.25% on the value of funds between £250,000 and £1m
- 0.1% on the value of funds between £1m and £2m
- No charge on the value of funds over £2m

For shares, investment trusts, ETFs, bonds or gilts – 0.45% per annum (capped at £45 p.a.)

Please note, when you invest into Portfolio+, the growth portfolios are built using accumulation units in all cases, the income portfolios use income units where possible.

To apply for Junior ISAs for more than one child, please send separate application forms and cheques.

Return your completed application form to:
Freepost HARGREAVES LANSDOWN