

FUND AND SHARE TRANSFER

Use this form to transfer existing funds and/or shares (outside of an ISA) to HL
Return your form to: Hargreaves Lansdown, 1 College Square South, Bristol, BS1 5HL

AWFT3

1. Your details

Title (Mr, Mrs, etc):	First name(s):	Surname:
Address:		Postcode:
Client number (if known):	Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Nationality:
National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Tick here if you have no NI No. <input type="checkbox"/>	Main tel. no: <input type="text"/>
A/C Designation (if applicable)* <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Email address: <input type="text"/>	

*If you want to invest for a child you'll need to complete a Bare Trust application. You can either download this online at www.hl.co.uk or call us on 0117 900 9000.

2. Joint holder details (if applicable)

Title (Mr, Mrs, etc):	First name(s):	Surname:
Address:		Postcode:
Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		Nationality:
National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Tick here if you have no NI No. <input type="checkbox"/>	

3. Are you transferring any share certificates? – remember to include your original certificates

No. of shares e.g. 2,000	Name and description of stock e.g. Vodafone plc ordinary shares	No. of certs e.g. 2	Cost (£) including charges
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

I have read and agreed to the declaration on page 2 (only sign if you are transferring share certificates)

<input checked="" type="checkbox"/> SIGNATURE	<input type="checkbox"/> JOINT SIGNATURE	Date: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
---	--	---

4. Transfer your non-ISA account

I would like to sell my investments first then transfer OR transfer my account as it is

DETAILS OF THE ACCOUNT YOU'RE TRANSFERRING

Company name:	Company postcode:
Reference/account no.	Approx. transfer value:
I have read and agreed to the declaration on page 2. I authorise you to discuss my transfer with HL, including by phone. I authorise you to transfer my investment(s) as they are if I haven't ticked one of the options above, and to cancel any Direct Debits immediately.	
A/C Designation (if applicable)* <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Date: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

5. Transfer your non-ISA account – complete if you want to transfer another account

I would like to sell my investments first then transfer OR transfer my account as it is

DETAILS OF THE ACCOUNT YOU'RE TRANSFERRING

Company name:	Company postcode:
Reference/account no.	Approx. transfer value:
I have read and agreed to the declaration on page 2. I authorise you to discuss my transfer with HL, including by phone. I authorise you to transfer my investment(s) as they are if I haven't ticked one of the options above, and to cancel any Direct Debits immediately.	
A/C Designation (if applicable)* <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Date: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

6. Your bank account – this is where we'll pay any income or cash withdrawals

Ignore this step if we already have your details and you'd like to keep these the same.

Name of account holder:	Sort code: <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>
Account number: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Roll number or building soc. ref.: <input type="text"/>

7. Once you've transferred to HL, what would you like us to do with any income? – select one

Reinvest my income automatically Pay my income out to my bank account Hold my income as cash in my Fund and Share account

8. Would you like to choose your investments now? – no need to complete if you're transferring your account as it is

If you're ready to choose your investments, enter them below. To choose your investments later, leave blank.

	%		%
	%	Cash	%
	%	Total	100%

9. Declaration – please read

By proceeding you confirm that for tax purposes, you are solely resident in the United Kingdom and that you are not a citizen of the USA.

For your own benefit and protection you should read our Terms and Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms and Conditions, the Important Investment Notes and Key Features of the HL Fund and Share Account and my chosen investment including all costs and charges and, where available, Key (Investor) Information Document provided to me at www.hl.co.uk or on paper.

I do not qualify as a US person under the Securities Act and if I am resident or ordinarily

resident in the Republic of Ireland for tax purposes I have read your dealing terms which are available at www.hl.co.uk/funds/terms or upon request. The declaration and the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and Hargreaves Lansdown (HL). I will notify HL without delay of changes to these particulars.

I authorise the UT/OEIC Account Manager of the fund(s) named to provide HL with any information they require regarding the accounts managed on my behalf, including, but not limited to, transaction and dividend histories and details of the current holdings and their values. I separately authorise Hargreaves Lansdown to submit all information on this transfer authority to the UT/OEIC Account Manager. I confirm that the transfer of the fund(s) named will not result in any change of beneficial ownership from or among account holders.

Stock transfers: I authorise the UT/OEIC Manager to transfer my holdings in the fund(s) named to Hargreaves Lansdown Nominees Ltd a/c HLNOM, and to send further commission due on this investment, now or in the future, to HL.

Cash transfers: I authorise the UT/OEIC Manager to sell the fund(s) named and transfer the proceeds to Hargreaves Lansdown.

To HL: I declare I am the legal holder of the holdings mentioned overleaf and that they are held in my name. I understand that no dealing instructions can be placed on these holdings until they are lodged in my HL Fund and Share Account.

I confirm that I have not received advice as to the suitability of the HL Fund and Share Account or these investments for my circumstances.

TRANSFERRING TO THE HL FUND AND SHARE ACCOUNT – QUESTIONS AND ANSWERS

What are my options for transferring?

When you transfer you choose whether you'd like to keep your investments, or sell them and invest the cash again later.

Transfer my account as it is: We'll move your investments and any cash exactly as they are. During the transfer you won't be able to buy or sell investments.

Sell investments, then transfer: We'll ask your provider to sell your investments, then transfer the cash. You won't lose or gain from market changes until you're invested again.

If you've sent us **share certificates** we'll send you a Crest transfer form.

Are there any charges to transfer?

It's free to transfer to us but your current provider may charge an exit fee, so it's worth checking with them first to make sure you won't be charged any excessive fees.

Can I transfer part of my account?

In most cases, yes. Check with your current provider or call us on **0117 900 9000**.

To transfer part of a holding, just include a signed letter of instruction with your form telling us exactly which holdings you wish to transfer and how many.

How does the income instruction work?

Your income instruction tells us what you want us to do with any income your investments make. It applies to all investments in your account.

If you leave the income instruction blank we'll look at what you have told us before. If you haven't given us an instruction any income will be kept as cash in your account.

Reinvest income automatically

We'll reinvest income when it reaches £10 per holding. There's a dealing commission of 1% (£1 minimum, £10 maximum) for shares.

If you buy funds we'll buy accumulation units for you where possible.

Pay income out to my bank account


If you've chosen to have income paid out we'll send it to your nominated bank account on the 10th of the month, or the next working day.

If you buy funds we'll buy income units for you where possible.

Hold income as cash in my Fund and Share account

We'll hold any income in your account until you've decided what to do with it. If you buy funds we'll buy accumulation units for you where possible.

Any questions?

 **0117 900 9000**

 www.hl.co.uk

 helpdesk@hl.co.uk

Don't want to hear from us?

If you don't want to receive emails from us, just send us an email or write to us to let us know.

What is my account reference number?

Below, you'll find the format of the reference numbers for a number of providers. If your provider isn't listed, and you're unsure of your reference number, please contact your current provider before returning the transfer form to us.

COMPANY	FORMAT OF REFERENCE NUMBER	EXAMPLE	Major Fund Groups Include: Aberdeen, Allianz Global Investors, Artemis, Aviva, AXA, BlackRock, Cazenove, F&C, GLG, Henderson, Invesco, Investec, J.P. Morgan, Jupiter, Legg Mason, Liontrust, M&G, Martin Currie, Neptune, Old Mutual, Prudential, Rathbone, Royal London, Schroders, Standard Life Investments, SVM and Threadneedle.
Major Fund Groups (IFDS)	10 numbers which may have preceding zeros	0001234567	
Barclays Smart Investor	ID, followed by 7 numbers, hyphen followed by 3 numbers	ID1234567-123	
Cofunds	8 numbers beginning with the number 8	81234567	
Halifax	8 digits	12345678	