Transfer to the HL Fund and Share Account

Application to transfer investments held with another provider (outside of an ISA or pension) into Portfolio+

1. Personal details – please complete all sections

Office use only AWPP3

Title (Mr, Mrs etc)	Full Name		A/C Designation (if applicable)*
Permanent Residential Address		Nationality	
Postcode	Daytime Tel. No	Date of Birth	D D M M Y Y Y Y
National Insurance No.	If you have no NI No. tick here	Email Address (if applicable)	

*If investing on behalf of someone under 18 years of age please enter their initials here. Please note, upon receipt of your application we will send you a further simple form to complete and return if the account is to be a bare trust, this is for tax reporting purposes. Please refer to the 'personal details' section in the notes overleaf for more information.

Joint Holder's Details – if applicable

Title (Mr, Mrs etc)	Full Name	Nationality	
Permanent Residential Address			
Postcode	National Insurance No.	If you have no NI No. tick here	Date of Birth D D M M Y Y Y Y

IMPORTANT: the details you provide above MUST match what your current provider holds for you, so please ensure your details are up-to-date before transferring.

2. Nominated Bank Account & Income Instruction – see the following page for further details

Nominated Bank Account

Please nominate a bank account into which we will pay any income or cash withdrawals.
You need not complete this section if you have already nominated a bank account.

Name of Account Holder	
Account Number	Sort Code
Roll Number or Building Soc. Ref	

Income Instruction

Please complete this section only if this is your first application for, or to change the current income instruction on, the HL Fund and Share Account. **Please tick one option only.**

A **Reinvest income automatically**

B **Pay income out to my nominated bank account (see left)**

C **Hold income as cash in my HL Fund and Share Account**

3. Declaration – please ensure you have read and agreed to this declaration before transferring

By proceeding you confirm that for tax purposes, you are solely resident in the United Kingdom and that you are not a citizen of the USA.

For your own benefit and protection you should read our Terms & Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms & Conditions, the Important Investment Notes, and Key Features of the HL Fund and Share Account and my chosen investment including all costs and charges and, where available, the Key Investor Information Document/Key Information Document provided to me on paper or via www.hl.co.uk. I do not qualify as a US person under the Securities Act and if I am resident or ordinarily resident in the Republic of Ireland for tax purposes I have read and agree to your dealing terms which are available at www.hl.co.uk/funds/terms or upon request. The declaration and the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and Hargreaves Lansdown. I will notify Hargreaves Lansdown without delay of changes to these particulars.

I have not received advice from Hargreaves Lansdown regarding the suitability of the HL Fund and Share Account or any aspect of this investment.

4. Choose a portfolio – HL Portfolio+ investment instruction

STEP 1:
Investment amount

Please invest ______ %

of my transfer into the portfolio chosen to the right (minimum investment £1,000).

STEP 2:
Investment goal

I would like to invest for:

GROWTH ☐ **OR** **INCOME** ☐

STEP 3:
Risk level
Tick ONE portfolio you wish to invest into

HL Portfolio+	
Conservative	
Balanced	
Adventurous	

5. Fund and Share Transfer Authorities (non-ISA & non-pension) – please complete one authority for each company you wish to transfer from.

A

Company's Name		Company's Postcode
Approx Value £	Reference/ Account No.	A/C Designation (if applicable)

Please transfer all my (non-ISA/non-pension) holdings, including any cash, to Hargreaves Lansdown as cash

Direct Debit – cancel my Direct Debit with immediate effect. I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone. **I have read and agreed to the declaration above.**

PLEASE SIGN HERE ✘	**JOINT HOLDER'S SIGNATURE (if applicable)** ✘	**DATE**

B

Company's Name		Company's Postcode
Approx Value £	Reference/ Account No.	A/C Designation (if applicable)

Please transfer all my (non-ISA/non-pension) holdings, including any cash, to Hargreaves Lansdown as cash

Direct Debit – cancel my Direct Debit with immediate effect. I authorise you to discuss this transfer with Hargreaves Lansdown, including by telephone. **I have read and agreed to the declaration above.**

PLEASE SIGN HERE ✘	**JOINT HOLDER'S SIGNATURE (if applicable)** ✘	**DATE**

Portfolio+ HL Fund and Share Account

Application to transfer investments held with another provider (outside of an ISA or pension) into Portfolio+

Section 1
Personal Details
Please complete all your personal details.

You can request not to receive emails from Hargreaves Lansdown by writing to us or emailing us.

Q. Do I need to provide any further documents to prove who I am?
A. No, not at this stage. We'll carry out an electronic check to verify your identity. If this isn't successful we'll contact you.

Q. How do I apply on behalf of a child?
A. Enter up to four characters in the A/C designation box that will help you identify the account.

We'll send you a Bare Trust Self-Certification form when we receive your Fund and Share Account application. If you'd like the account to be a Bare Trust, please complete and return the form to us. We'll also send you an Election for Bare Trust form for your records.

Q. How do I apply in joint names?
A. Simply complete the 'Joint Holder's Details' in section 1 of the application form and ensure both holders sign and date the form.

Section 2
Nominated Bank Account & Income Instruction
Please tell us what to do with any income paid by your investment and provide details of your nominated bank or building society account.

Q. What is a nominated bank account?
A.Your income instruction tells us what you want us to do with any income your investments generate. The option you choose applies to your whole HL Fund and Share Account and will override any current instructions.

If you leave the income option blank and you already hold an HL Fund and Share Account we'll look at what you have told us before, or if this is your first investment we'll hold any income on deposit.

Q. How does the income instruction work?
A. The income instruction you choose in section 2 will apply to your whole HL Fund and Share Account. If you already hold an HL Fund and Share Account, the instruction you provide on this application will supersede the current instruction.

If you leave the income option blank and you already hold a HL Fund and Share Account we'll look at what you have told us before, or if this is your first investment we'll hold any income as cash.

Choose option A for automatic reinvestment. If you've chosen to automatically reinvest any income, we'll do so when it reaches £10 per holding. We'll charge a dealing commission of 1% (£1 minimum, £10 maximum).

Choose option B to have income paid out. If you've chosen to have income paid out to your nominated bank account, we'll buy income units for you where possible.

Choose option C to have income held as cash. If you've chosen to have income held on your account, we'll buy accumulation units for you where possible.

Section 3
Declaration
Please ensure you have read and agree to this declaration before you transfer.

By opening our HL Fund and Share Account you are confirming that you are solely UK resident and not a citizen of the USA. If you can't agree to this please call us on **0117 900 9000** and we'll send you the correct application form.

Section 4
Investment Details
Please choose **ONE** portfolio that you wish to invest into. Please remember, our HL Service is designed for people who make their own investment decisions without advice. Ensure you have read the enclosed Key Features and Terms & Conditions.

Section 5
Transfer Authority
Please tell us about the non-ISA account you want to transfer. You'll find the details on your statement or valuation from your current provider. If you're unsure of any details, you'll need to get in touch with your current provider.

The details you give us must match those held by your current provider.

Q. How will my non-ISA account be transferred?
A. Your non-ISA account will be transferred to the HL Fund and Share Account as cash.

With a cash transfer, your investments are sold and moved to your new account as cash. The amount you enter in section 4 will be invested into your chosen portfolio. Any remaining amounts will be held as cash on your account.

With a cash transfer, you'll be out of the market for a period. So your money will be stable if the market falls, but if it rises you won't benefit.

Q. Can I transfer part of my holding?
A. Please check with your current provider or call us on **0117 900 9000**. To transfer part of a holding, just send a signed letter of instruction with your form.

Please make sure you detail exactly which holdings you want to transfer.

Q. How do I transfer investments held in joint names?
A. Both of you must sign the transfer form.

Q. Can I transfer overseas investments?
A. It may be possible to transfer funds or shares held in a nominee account with an overseas broker; please contact our Helpdesk on **0117 900 9000** for clarification before completing your transfer form.

Unfortunately we cannot accept transfers of any non-UK listed share certificates.

Q. Are there any charges to transfer?
A. We don't charge any transfer fees, but your current provider might. Please check this with your current provider before transferring.

Funds and shares held in a nominee account with another stockbroker or fund supermarket: your provider may charge a transfer fee, however we may be able to contribute towards this. Please contact our Helpdesk on **0117 900 9000** before completing your transfer form.

Please note, when you invest into Portfolio+, the growth portfolios are built using accumulation units in all cases, the income portfolios use income units where possible.

What is my reference number?

Major Fund Groups Include:
Aberdeen, Allianz Global Investors, Artemis, Aviva, AXA, Blackrock, Cazenove, F&C, GLG, Henderson, Invesco Perpetual, Investec, J.P. Morgan, Jupiter, Legg Mason, Liontrust, M&G, Martin Currie, Neptune, Old Mutual, Prudential, Rathbone, Royal London, Schroders, Standard Life Investments, SVM and Threadneedle.

Company	Format of reference number	Example
Major Fund Groups (IFDS)	10 numbers which may have preceding zeros	0001234567
AXA Elevate	EL followed by 7 numbers	EL1234567
Barclays Smart Investor	ID, followed by 7 numbers, hyphen followed by 3 numbers	ID1234567-123
Best invest	BI followed by six numbers	BI123456
Charles Stanley	7 numbers	1234567
Co funds	8 digits beginning with the number 8	81234567
Equiniti	8 numbers	12345678
Fidelity	4 letters followed by 6 numbers 3 letters followed by 7 numbers AS followed by 8 numbers	ABCD123456 ABC1234567 AS12345678

If your provider is not listed, and you are unsure of the reference number, please contact your current provider before returning the transfer form.