

HL FUND AND SHARE ACCOUNT APPLICATION

Use this form to invest in funds or shares outside of an ISA or SIPP

Return your form to: Hargreaves Lansdown, 1 College Square South, Bristol, BS1 5HL

APFO1

1. Your details

| | | |
|-----------------------|----------------|----------|
| Title (Mr, Mrs, etc): | First name(s): | Surname: |
|-----------------------|----------------|----------|

Address:

| | | |
|-----------|--|--------------|
| Postcode: | Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Nationality: |
|-----------|--|--------------|

| | | |
|--|--|------------------------------------|
| National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Tick here if you have no NI No. <input type="checkbox"/> | Main tel. no: <input type="text"/> |
|--|--|------------------------------------|

| | |
|--|-------------------------------------|
| A/C Designation (if applicable)* <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Email address: <input type="text"/> |
|--|-------------------------------------|

*Complete to open a second Fund and Share account in your name or an account designated to someone else (see overleaf for more details).

2. Joint holder details (if applicable)

| | | |
|-----------------------|----------------|----------|
| Title (Mr, Mrs, etc): | First name(s): | Surname: |
|-----------------------|----------------|----------|

Address:

| | |
|-----------|--|
| Postcode: | Date of birth: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
|-----------|--|

| | | |
|--|--|--------------|
| National Insurance No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Tick here if you have no NI No. <input type="checkbox"/> | Nationality: |
|--|--|--------------|

3. How much would you like to pay into your Fund and Share Account?

| | |
|----------------------|--|
| Lump sum: (min £100) | Your cheque should be payable to 'HLAM CLIENT A/C'. If the cheque doesn't show your name please turn over for details. |
|----------------------|--|

| | |
|---|--|
| AND/OR Monthly saving: (min £25) | Please make sure you complete the Direct Debit slip on the back of the form. |
|---|--|

4. Would you like to choose your investments now? – ignore this step if you'd like to choose your investments later

| Investment choice Full fund/share name | Lump sum (min. £100 per fund) | Monthly saving (min. £25 per investment) |
|---|----------------------------------|---|
| <input type="text"/> | £ <input type="text"/> | £ <input type="text"/> |
| <input type="text"/> | £ <input type="text"/> | £ <input type="text"/> |
| <input type="text"/> | £ <input type="text"/> | £ <input type="text"/> |
| Cash | £ <input type="text"/> | £ <input type="text"/> |
| Total | £ <input type="text"/> | £ <input type="text"/> |

If you'd like to choose more investments please let us know on a separate sheet.

5. Your bank account – this is where we'll pay any income or cash withdrawals

Ignore this step if we already have your details and you'd like to keep these the same.

| | |
|-------------------------|--|
| Name of account holder: | Sort code: <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> |
|-------------------------|--|

| | |
|---|---|
| Account number: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Roll number or building soc. ref.: <input type="text"/> |
|---|---|

6. What would you like to do with any income your investments make? – select one

Ignore this step if you've already told us your income preference for your Fund and Share Account and you'd like to keep this the same.

Reinvest income automatically
 Pay income out to my bank account
 Hold income as cash

7. Declaration and signature – please remember to include your cheque

By proceeding you confirm that for tax purposes, you are solely resident in the United Kingdom and that you are not a citizen of the USA.

For your own benefit and protection you should read our Terms and Conditions carefully before committing to an investment. If you do not understand any point please ask us for further information. When you use our services we will take this as acceptance and agreement of our terms, and you will be bound by them.

I have read, agreed to and retained the Terms and Conditions, the Important Investment Notes, and Key Features of the HL Fund and Share Account and my chosen investment including all costs and charges and, where available, the Key (Investor) Information Document provided to me on paper or via www.hl.co.uk.

I do not qualify as a US person under the Securities Act and if I am resident or ordinarily resident in the Republic of Ireland for tax purposes I have read your dealing terms which are available at www.hl.co.uk/funds/terms or upon request. The declaration and

the information I will give in my application is correct to the best of my knowledge and belief and shall be the basis of the contract between me and HL. I will notify HL without delay of changes to this information.

Where applying for an IPO, I confirm I have read the prospectus and I am doing so on the basis of the information contained in the prospectus, Terms and Conditions and any supplement thereto.

I confirm that I have not received advice from HLAM in relation to the opening of this HL Fund and Share account.

I agree to the declaration above

Please sign here  SIGNATURE

Joint holder's signature (if applicable)  SIGNATURE

Date:

Instruction to your bank or building society to pay by Direct Debit – only complete if you're investing by Direct Debit

**HARGREAVES
LANSDOWN**

Please keep a copy of the Direct Debit guarantee which is in the Terms and Conditions.
Please complete using dark ink.

Service User Number
8 3 7 0 0 4



Name(s) of account holder(s): _____

Branch Sort Code - - Bank/Building Society Account Number

Name and full postal address of your Bank/Building Society _____ To the Manager: _____

Address: _____ Postcode: _____

Instruction to your Bank or Building Society –
Please pay Hargreaves Lansdown Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Hargreaves Lansdown and, if so, details will be passed electronically to my Bank/ Building Society.

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

Please sign here  _____ **SIGNATURE**

Date:

FUND AND SHARE ACCOUNT APPLICATION – QUESTIONS AND ANSWERS

Payment and investment details

Let us know what you want to invest in, and how much.

If you're investing in funds please make sure you include both the name of the fund management company (e.g. Jupiter) and the name of your chosen fund (e.g. Global Strategic Bond).

Can I invest monthly using a Direct Debit?

Yes, you can use a Direct Debit to invest directly into funds, FTSE 350 shares, and some investment trusts and ETFs – or to simply add cash to your account.

We take payments on the 7th of the month, with investments made on the 10th – or the next working day.

The cut off for Direct Debits to be started or cancelled is the 21st of each month.

Can someone else pay for my investment?

Yes, they'll just need to include a signed letter confirming they're gifting the money to you and that they understand they'll have no further claim on that money. It needs to include their name, date of birth and any addresses you've both lived at for the last two years.

Can I open a designated Fund and Share Account?

Yes, by adding the individual's initials in the field labelled A/C Designation in section 1. This is not a Bare Trust account.

A designated account enables you to set aside money for a specific purpose, such as to pass on to someone else in the future, without having given it away. This means you can change your mind or use the money for a different purpose, e.g. if your circumstances change.

You remain the owner of the assets in the account, and will be liable for any income tax or capital gains tax on the investments.

How does the income instruction work?

Your income instruction tells us what you want us to do with any income your investments make. It applies to all investments in your account.

If you leave the income instruction blank we'll look at what you have told us before. If you haven't given us an instruction any income will be kept as cash in your account.

You can choose one of the three options below which you can change at any time.

Reinvest income automatically

We'll reinvest income when it reaches £10 per holding.

If you buy funds we'll buy accumulation units for you where possible.

Pay income out to my bank account

If you've chosen to have income paid out we'll send it to your nominated bank account on the 10th of the month, or the next working day.

If you buy funds we'll buy income units for you where possible.

Hold income as cash in my account

We'll hold any income in your account until you've decided what to do with it.

If you buy funds we'll buy accumulation units for you where possible.


Making a payment by cheque?

Please write your name and address on the back of your cheque and make it payable to: 'HLAM Client A/C'.

If you're using a cheque that doesn't show your name (a building society cheque, for example) please ask your bank or building society to write on the back of the cheque 'This cheque has been drawn on the account of (your name).' This must be dated, signed and show their company stamp.

Return your application form and cheque to: **Freepost HARGREAVES LANSDOWN**

Any questions?

 0117 900 9000

 www.hl.co.uk

 helpdesk@hl.co.uk

Don't want to hear from us?

If you don't want to receive emails from us, just send us an email or write to us to let us know.

MORE WAYS TO OPEN YOUR FUND AND SHARE ACCOUNT

Online – visit www.hl.co.uk

By telephone – call 0117 980 9950

Before applying make sure you have your debit card and National Insurance Number to hand, and you've read the Key Features and Terms and Conditions.

Telephone lines are open Monday – Friday: 8am–5pm and Saturday: 9.30am – 12.30pm.

